

525 Rec'd PCT/PTC 12 OCT 2000

FORM PTO-1390 (Modified) (REV 11-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>A33595-PCT USA</b>	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>09/673274</b> )	
				INTERNATIONAL APPLICATION NO. <b>PCT/FR99/00843</b>	INTERNATIONAL FILING DATE <b>12 April 1999</b>
TITLE OF INVENTION <b>GENE CODING FOR HELIOMICINE AND USE THEREOF</b>					
APPLICANT(S) FOR DO/EO/US <b>LAMBERTY, Mireille; BULET, Philippe; BROOKHART, Gary L.; and HOFMANN, Jules</b>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> <li>8. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>10. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input checked="" type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> </ol> <p><b>Items 13 to 20 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>19. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail</li> <li>20. <input checked="" type="checkbox"/> Other items or information:</li> </ol>					
<p><b>Form PCT/RO/101; Form PCT/IB/306; Form PCT/IB/304; Form PCT/IB/308; a postcard and a check in the amount of \$1,292.</b></p> <p><b>Express Mail No. : EK839862122US</b> <b>Date of Deposit: October 12, 2000</b></p>					

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APPLICATION NO. (SEE 37 CFR)  
**09/673274**

INTERNATIONAL APPLICATION NO.  
**PCT/FR99/00843**

ATTORNEY'S DOCKET NUMBER  
**A33595-PCT USA**

21. The following fees are submitted:

				CALCULATIONS PTO USE ONLY	
<b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :</b>					
<input type="checkbox"/>	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO		\$1,000.00		
<input checked="" type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO		\$860.00		
<input type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO		\$710.00		
<input type="checkbox"/>	International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)		\$690.00		
<input type="checkbox"/>	International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)		\$100.00		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$860.00</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				<b>\$0.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	44 - 20 =	24	x \$18.00	<b>\$432.00</b>	
Independent claims	1 - 3 =	0	x \$80.00	<b>\$0.00</b>	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,292.00</b>	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>SUBTOTAL =</b>				<b>\$1,292.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,292.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3 31) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,292.00</b>	
				Amount to be refunded	\$
				charged	\$

A check in the amount of **\$1,292.00** to cover the above fees is enclosed.

Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **02-4377** A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

**Louis S. Sorell  
 BAKER BOTTS LLP  
 30 Rockefeller Plaza  
 New York, NY 10112-0228**

*Janet M. MacLeod*  
 SIGNATURE

**Janet M. MacLeod**  
 NAME

**35,263**  
 REGISTRATION NUMBER

**October 12, 2000**  
 DATE

09/673274 09/673274

09/673274

529 Rec'd PCT/PTC 12 OCT 2000  
A33595-PCT USA

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : LAMBERTY, Mireille et al.  
Serial No. : To be assigned  
Filed : 12 April 1999  
For : GENE CODING FOR HELIOMICINE

**Express Mail Mailing No. EK839862122US**

**PRELIMINARY AMENDMENT**

Assistant Commissioner of Patent  
Box PCT  
Washington, D.C., 20231

Sir or Madam:

Prior to examination of the above-identified application, please enter the following amendments:

**IN THE CLAIMS:**

Please cancel Claims 21 and 45 without prejudice.

Claim 4, Lines 1-2: please delete "either of claims 2 and 3" and substitute therefor --claim 2--.

Claim 5, Lines 1-2: please delete "one of claims 1 to 4" and substitute therefor --claim 1--.

Claim 6, Lines 1-2: please delete "one of claims 1 to 5" and substitute therefor --claim 1--.

Claim 8, Lines 1-2: please delete "one of claims 1 to 7" and substitute therefor --claim 1--.



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- Claim 38, Line 3: please delete "either of claims 36 to 37" and substitute therefor --claim 36--.
- Claim 39, Line 2: please delete "one of claims 36 to 38" and substitute therefor --claim 36--.
- Claim 40, Lines 4-5: please delete "claims 23 to 25 or a chimeric gene according to one of claims 26 to 28" and substitute therefor --claim 23--.
- Claim 43, Line 2: please delete "one of claims 36 to 38" and substitute therefor --claim 36--.
- Claim 44, Line 2: please delete "33" and substitute therefor --43--.
- Claim 46, Lines 1-2: please delete "heliomicine defined according to one of claims 1 to 20" and substitute therefor --the peptide of claim 1--.
- Claim 46, Line 4: please delete "according to one of claims 30 to 34" and substitute therefor --that contains a nucleic acid encoding said peptide--.

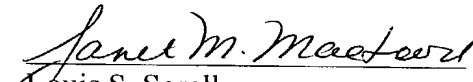
REMARKS

The claims have been amended to confirm to U.S. practice and to remove multiple dependencies. No new matter has been added by this amendment.

Favorable consideration of all pending claims is respectfully requested.

Respectfully submitted,  
BAKER BOTTS LLP

Dated: October 12, 2000

  
Janet M. MacLeod  
Reg. No. 32,439

Janet M. MacLeod  
Reg. No. 35,263

Attorneys for the Applicant  
Tel. (212) 705-5000



0909 07 15 22 27 4 . 01 23 11 20 01  
JC14 Rec'd PCT/PTO 18 DEC 2001 PCT #9

FILE NO. A33595 PCT USA-072667.0166  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : LAMBERTY, Mireille et al.  
Serial No. : 09/673,274 Examiner :  
Filed : April 12, 1999 Group Art Unit:  
For : GENE CODING FOR HELIOMICINE, AND USE THEREOF

RESPONSE TO NOTIFICATION TO COMPLY WITH  
REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
SEQUENCES

SUBMISSION OF SUBSTITUTE SEQUENCE LISTING AND  
DECLARATION

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

November 15, 2001  
Date of Deposit

Alicia A. Russo 46,192  
Attorney Name PTO Registration No

*Alicia A. Russo* November 15, 2001  
Signature Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This paper is submitted in response to the Notification to Comply with Requirements for Patent Applications Containing Sequences dated September 14, 2001, which

FILE NO.A33595 PCT USA-072667.0166  
 PATENT

was issued in the above-identified application. Applicants submit herewith a Substitute Sequence Listing in paper and computer readable form. Please consider the following amendments and remarks.

IN THE SPECIFICATION

Please delete the Sequence Listing and substitute therefor, the Substitute Sequence Listing included herewith.

REMARKS

This paper is submitted in response to the Notification to Comply with Requirements for Patent Applications Containing Nucleotide or Amino Acid Sequences dated September 14, 2001, which was issued in the above-identified application. In the Notification, it was indicated that the application fails to comply with the requirements of 37 C.F.R. § 1.821-1.825 because the contents of the computer readable form of the Sequence Listing which was submitted on June 28, 2001 does not comply. Applicants therefore submit herewith a substitute computer readable form of the Sequence Listing. In addition, Applicants submit herewith a substitute copy of the Sequence Listing in paper form.

The specification has been amended to insert sequence identification numbers at the appropriate places. This amendment is fully supported by the Specification and Sequence Listing as filed and does not constitute new matter.

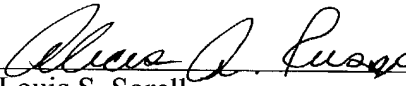
The content of the paper and computer readable copies of the Substitute Sequence Listing submitted in accordance with 37 C.F.R. §1.821(c) and (e) are the same and do not include new matter.

FILE NO. A33595 PCT USA-072667.0166  
PATENT

Applicants believe no fee is required with this submission. However, if a fee is due, please charge such fee to Deposit Account No. 02-4377. Two copies of this paper are enclosed.

A copy of the Notification to Comply With Requirements for Patent Applications Containing Sequences is enclosed.

Respectfully submitted,



Louis S. Sorell  
Patent Office Reg. No. 32,439

Alicia A. Russo  
Patent Office Reg. No. 46,192

Attorneys for Applicants

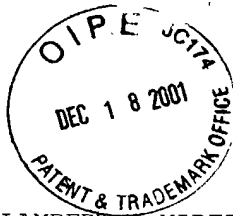
BAKER BOTTS, L.L.P.  
30 Rockefeller Plaza  
New York, NY 10112  
(212) 408-2500





Rec'd PCT/PTO 18 DEC 2001

219



SEQUENCE LISTING

<110> LAMBERTY, MIREILLE  
BULET, PHILLIPE  
BROOKHART, GARY  
HOFFMAN, JULES

<120> GENE CODING FOR HELIOMICINE, AND USE  
THEREOF

<130> A33595-PCT-USA

<140> 09/673,274

<141> 1999-04-12

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<151> 1999-04-12

<150> FR 98 04933

<151> 1998-04-15

<160> 38

<170> FastSEQ for Windows Version 4.0

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gaatacgtag aaattgaaaa agaagaacca ggcgaagaaa agaactctga agacgtaagc 240
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acacatgtaa ggtggaaaaat gtaagggcgg aaagtaacct tatcacaagag gaatcctatc 360
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aaggaaccaa gttcggcatt tgtgaaaaca agaaaaaatt tgggtgtaagc tattttcttt 480
gaagtactga ggatacaact tcagagaaat ttgtaagttt gtagatctcg attctaga 538
atg gcc tgc acc aac aac gcc atg agg gcc ctc ttc ctc ctc gtg ctc 586
Met Ala Cys Thr Asn Asn Ala Met Arg Ala Leu Phe Leu Leu Val Leu
  1             5             10            15

ttc tgc atc gtg cac ggc gat aag ctt atc ggt tcc tgc gtg tgg ggt 634
Phe Cys Ile Val His Gly Asp Lys Leu Ile Gly Ser Cys Val Trp Gly
          20             25             30

gct gtg aac tac act tcc gat tgc aac ggt gag tgc aag agg agg ggt 682
Ala Val Asn Tyr Thr Ser Asp Cys Asn Gly Glu Cys Lys Arg Arg Gly
          35             40             45

tac aag ggt ggt cac tgc ggt tcc ttc gct aac gtg aac tgc tgg tgc 730
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1

GENE ENCODING HELIOMICINE AND ITS USE

The subject of the present invention is a new cysteine-rich peptide called heliomicine, its use as a medicament and the compositions containing it, a DNA  
5 sequence encoding this peptide, a vector containing it for the transformation of a host organism and the method of transforming the said organism.

The invention relates more particularly to the transformation of plant cells and of plants, the  
10 heliomicine produced by the transformed plants conferring on them resistance to diseases, in particular of fungal origin.

There is currently an increasing need to make plants resistant to diseases, in particular fungal  
15 diseases, in order to reduce or even avoid having to use treatments with antifungal protection products, in order to protect the environment. One means of increasing this resistance to diseases consists in transforming plants so that they produce substances  
20 capable of providing their defence against these diseases.

In the field of human health, opportunistic fungal infections exist for which no truly effective treatment currently exists. In particular, this is the  
25 case for certain serious invasive mycoses which affect hospital patients whose immune system is suppressed following a transplant, a chemotherapy or HIV

infection. Compared with the antimicrobial agent arsenal, the current range of antifungal agents is very limited. A real need therefore exists to characterize and develop new classes of antifungal substances.

5           Various substances of natural origin, in particular peptides, are known which exhibit bactericidal or fungicidal properties, in particular against the fungi responsible for plant diseases. However, a first problem consists in finding such  
10 substances which not only can be produced by transformed plants, but which can still preserve their bactericidal or fungicidal properties and confer them on the said plants. For the purposes of the present invention, bactericidal or fungicidal is understood to  
15 mean both the actual bactericidal or fungicidal properties and the bacteriostatic or fungistatic properties.

Cysteine-rich peptides are also known which exhibit bactericidal or bacteriostatic activities, but  
20 which do not exhibit fungicidal or fungistatic activity. Another problem consists in finding a cysteine-rich peptide which exhibits a high fungicidal or fungistatic activity compared with the peptides of the state of the art.

25           Heliomicine is a peptide isolated from the haemolymph of the lepidopteron *Heliothis virescens* which exhibits fungicidal activity against the fungi





acids.

Advantageously, Xad represents the following peptide sequence -Lys-Xad'-Xad"-Gly-His-, in which Xad' represents a peptide residue of 1 basic amino acid and  
 5 Xad" represents a peptide residue comprising from 0 to 5 amino acids, preferably 5.

Basic amino acids are understood to mean more particularly according to the invention the amino acids chosen from lysine, arginine or homoarginine.

10 Preferably, Xad represents the following peptide sequence -Lys-Arg-Arg-Gly-Tyr-Lys-Gly-Gly-His- or Leu-Leu-Arg-Gly-Tyr-Lys-Gly-Gly-His-.

According to another preferred embodiment of the invention, Xac comprises at least one acidic amino  
 15 acid, preferably one.

Advantageously, Xac represents the following peptide sequence -Asn-Xac'-Xac"-, in which Xac' represents a peptide residue of 1 amino acid, and Xac" represents a peptide residue of 1 acidic amino acid.

20 Acidic amino acid is understood to mean according to the invention any amino acid comprising on a side chain an organic acid function, more particularly a carboxylic acid preferably chosen from glutamic acid (Glu) or aspartic acid (Asp).

25 Preferably, Xac represents the following peptide sequence -Asn-Gly-Glu- or Ala-Ala-Glu-.

Advantageously,



Xaa represents the following peptide sequence Xaa'-Gly-Xaa"- in which Xaa' represents NH<sub>2</sub> or a peptide residue comprising 1 to 9 amino acids, preferably 1 to 5 amino acids, and Xaa" represents a peptide residue comprising  
 5 at least one amino acid, preferably chosen from Leu, Ile, Val, Pro, Ser or Thr, and/or

Xab represents the following peptide sequence -Val-Xab'-Asp-, in which Xab' represents a peptide residue comprising from 0 to 8 amino acids, preferably 8,  
 10 and/or

Xae represents the following peptide sequence -Gly-Xae'-Asn-, in which Xae' represents a peptide residue comprising from 0 to 5 amino acids, preferably 5, and/or

15 Xaf represents one of the following amino acids -Trp-, Phe, Leu, Ile or Val and/or

Xag represents the following peptide sequence -Glu-Xag' in which Xag' represents OH or a variable residue having a sequence comprising from 1 to 4 amino acids,  
 20 preferably 1 amino acid.

According to a more preferred embodiment of the invention, Xaa represents the following peptide sequence NH<sub>2</sub>-Asp-Lys-Leu-Ile-Gly-Ser- or NH<sub>2</sub>-Ala-Ala-Ala-Ala-Gly-Ser-, and/or Xab represents the following  
 25 peptide sequence -Val-Trp-Gly-Ala-Val-Asn-Tyr-Thr-Ser-Asp-, and/or Xae represents the following peptide sequence -Gly-Ser-Phe-Ala-Asn-Val-Asn-, and/or Xaf

represents the following amino acid -Trp- and/or Xag  
 represents the following peptide sequence -Glu-Thr-OH  
 or -Arg-Thr-OH.

According to a more preferred embodiment of  
 5 the invention, the heliomicine is the peptide  
 represented with its coding sequence by the sequence  
 identifier No. 2 (SEQ ID NO 2). The same sequence is  
 described, corresponding to amino acids 6 to 49 of the  
 sequence identifier No. 1 (SEQ ID NO 1) with a  
 10 different coding sequence.

The NH<sub>2</sub>-terminal residue may exhibit a post-  
 translational modification, for example an acetylation,  
 likewise the C-terminal residue may exhibit a post-  
 translational modification, for example an amidation.

15 Peptide sequence comprising essentially the  
 peptide sequence of general formula (I) is understood  
 to mean not only the sequences defined above, but also  
 such sequences comprising at either of their ends, or  
 at both ends, peptide residues necessary for their  
 20 expression and targeting in a host organism. Host  
 organism is understood to mean any organism comprising  
 at least one cell, whether microorganisms, in  
 particular a yeast or a bacterium, or alternatively  
 plant cells or alternatively higher organisms such as  
 25 plants.

This may be in particular a "peptide-  
 heliomicine" fusion peptide whose cleavage by the

enzymatic systems of the host organism allows the release of heliomicine, heliomicine being defined above. The peptide fused with heliomicine may be a signal peptide or a transit peptide which makes it possible to control and orient the production of heliomicine in a specific manner in a portion of the host organism, such as for example the cytoplasm, the cell membrane, or in the case of plants in a particular type of cell compartment or of tissues or in the extracellular matrix.

According to one embodiment, the transit peptide may be a signal for chloroplast or mitochondrial homing, which is then cleaved in the chloroplasts or the mitochondria.

According to another embodiment of the invention, the signal peptide may be an N-terminal signal or "prepeptide", optionally in combination with a signal responsible for retaining the protein in the endoplasmic reticulum, or a peptide for vacuolar homing or "propeptide". The endoplasmic reticulum is the site where the operations for processing the protein produced, such as for example the cleavage of the signal peptide, are performed by the "cellular machinery".

The transit peptides may be either single, or double, and in this case optionally separated by an intermediate sequence, that is to say comprising, in







According to the present invention, "nucleic acid fragment" is understood to mean a nucleotide sequence which may be of the DNA or RNA type, preferably of the DNA type, in particular double-  
5 stranded.

According to one embodiment of the invention, the nucleic acid fragment encoding heliomicine comprises the DNA sequence described by bases 16 to 147 of the sequence identifier No. 1 (SEQ ID NO 1), or by  
10 the sequence identifier No. 2 (SEQ ID NO 2), in particular the coding portion of this sequence corresponding to bases 1 to 132, a homologous sequence or a sequence complementary to the said sequence.

According to another embodiment of the  
15 invention, the nucleic acid fragment encoding the "peptide-heliomicine" fusion peptide comprises the DNA sequence described by the sequence identifier No. 1 (SEQ ID NO 1) or that described by the sequence identifier No. 3 (SEQ ID NO 3), in particular the  
20 coding portion corresponding to bases 3 to 224, or that described by the sequence identifier No. 18 (SEQ ID NO 18), in particular the coding portion corresponding to bases 7 to 205, a homologous sequence or a sequence complementary to the said sequences.

25 "Homologue" is understood to mean according to the invention a nucleic acid fragment exhibiting one or more sequence modifications relative to the nucleotide





"peptide-heliomicine" fusion peptide as defined above.

Host organism is understood to mean any lower or higher, mono- or pluricellular organism into which the chimeric gene according to the invention may be introduced, for the production of heliomicine. It includes in particular bacteria, for example *E. coli*, yeasts, in particular of the genera *Saccharomyces* or *Kluyveromyces*, *Pichia*, fungi, in particular *Aspergillus*, a baculovirus, or preferably plant cells and plants.

"Plant cell" is understood to mean according to the invention any cell derived from a plant and capable of constituting undifferentiated tissues such as calli, differentiated tissues such as embryos, plant portions, plants or seeds.

"Plant" is understood to mean according to the invention any differentiated multicellular organism capable of photosynthesis, in particular monocotyledonous or dicotyledonous plants, more particularly crop plants intended or otherwise as animal or human food, such as maize, wheat, rape, soyabean, rice, sugarcane, beet, tobacco, cotton and the like.

The regulatory elements necessary for the expression of the DNA fragment encoding heliomicine are well known to persons skilled in the art according to the host organism. They comprise in particular promoter

sequences, transcription activators, terminator  
 sequences, including start and stop codons. The means  
 and methods for identifying and selecting the  
 regulatory elements are well known to persons skilled  
 5 in the art.

For the transformation of microorganisms such  
 as yeasts or bacteria, the regulatory elements are well  
 known to persons skilled in the art, and comprise in  
 particular promoter sequences, transcription  
 10 activators, transit peptides, terminator sequences and  
 start and stop codons.

To direct the expression and the secretion of  
 the peptide in the yeast culture medium, a DNA fragment  
 encoding heliomicine is integrated into a shuttle  
 15 vector which comprises the following elements:

- markers which make it possible to select the  
 transformants. Preferably, the *ura-3* gene is used for  
 yeast and the gene which confers resistance to  
 ampicilline for *E. coli*,
- 20 - a nucleic sequence allowing the replication  
 (replication origin) of the plasmid in yeast.  
 Preferably, the replication origin of the yeast 2i  
 plasmid is used,
- a nucleic sequence allowing the replication  
 25 (replication origin) of the plasmid in *E. coli*,
- an expression cassette consisting

(1) of a promoter regulatory sequence. Any

promoter sequence of a gene which is naturally expressed in yeast may be used. Preferably, the promoter of the *S. cerevisiae* Mfa1 gene is used.

(2) of a sequence encoding a signal peptide  
5 (or prepeptide) in combination with a homing peptide (or propeptide). These regions are important for the correct secretion of the peptide. Preferably, the sequence encoding the pre-pro-peptide of the precursor of factor Mfa1 is used.

10 (3) of a polyadenylation or terminator regulatory sequence. Preferably, the terminator of *S. cerevisiae* phosphoglycerate kinase (PGK) is used. In the expression cassette, the sequence encoding heliomicine is inserted downstream of the pre-pro  
15 sequence and upstream of the PGK terminator.

These elements have been described in several publications including Reichhart *et al.*, 1992, *Invert. Reprod. Dev.*, 21, pp 15-24 and Michaut *et al.*, 1996, *FEBS Letters*, 395, pp 6-10.

20 Preferably, yeasts of the *S. cerevisiae* species are transformed with the expression plasmid by the lithium acetate method (Ito *et al.*, 1993, *J. Bacteriol.*, 153, pp 163-168). The transformed yeasts are selected on a selective agar medium which does not  
25 contain uracil. The mass production of transformed yeasts is carried out by culturing for 24 h to 48 h in a selective liquid medium.

The transformation of microorganisms makes it possible to produce heliomicine on a larger scale. The present invention therefore also relates to a method of preparing heliomicine, comprising the steps of

5 culturing a transformed microorganism comprising a gene encoding heliomicine as defined above in an appropriate culture medium, followed by the extraction and total or partial purification of the heliomicine obtained.

Preferably, during the extraction of the

10 heliomicine produced by yeasts, the yeasts are removed by centrifugation and the culture supernatant is placed in contact with an acidic solution which may be a solution of an inorganic or organic acid, such as for example hydrochloric acid or acetic acid. The extract

15 obtained is then centrifuged at cold temperature at a speed of 4000 to 10,000 rpm at 4°C for 30 to 60 min.

The purification of heliomicine may be preceded by a step of fractionation of the supernatant obtained following the extraction step. Preferably,

20 during the fractionation step, the extract is deposited on the reversed phase in order to carry out a solid phase extraction. The washing of the molecules which are soluble in water is carried out with a dilute acidic solution and the elution of the hydrophobic

25 molecules with an appropriate eluant. Good results are obtained with trifluoroacetic acid for the washing and an eluant containing increasing quantities of

acetonitrile in dilute acidic solution.

Preferably, the purification of heliomicine is carried out in two stages: a cation-exchange HPLC followed by a reversed phase HPLC with a suitable  
 5 eluant which may be different from or identical to that of the preceding phase. The various steps of the purification are monitored by a test of inhibition of fungal growth in liquid medium. Preferably, the test is carried out with the fungus *Neurospora crassa*.

10 The sequence of the heliomicine produced by the transformed yeasts is analysed according to the method of sequencing by Edman degradation and by mass spectrometry. The structural characterization is carried out directly on the peptide produced, on the  
 15 peptide modified by reduction/alkylation as well as on fragments of the peptide. The peptide sequence and the molecular mass of the heliomicine produced were compared with those of the native heliomicine extracted from the haemolymph of *H. virescens*. The results show  
 20 that the two molecules have the same primary structure. The determination of the position of the disulphide bridges indicates that the arrangement of the disulphide bridges is identical in both peptides, the native peptide and the one produced by the transformed  
 25 microorganism.

The invention relates more particularly to the transformation of plants. As promoter regulatory

sequence in plants, it is possible to use any promoter  
sequence of a gene which is naturally expressed in  
plants, in particular a promoter of bacterial, viral or  
plant origin such as, for example, that of a gene for  
5 the small subunit of ribulose-biscarboxylase/oxygenase  
(RuBisCO) or of a plant virus gene such as, for  
example, that of the cauliflower mosaic (19S or 35S  
CAMV), or a promoter which is inducible by pathogens  
such as the tobacco PR-1 $\alpha$ , it being possible to use any  
10 known suitable promoter. Preferably, a promoter  
regulatory sequence is used which promotes the  
overexpression of the coding sequence constitutively or  
induced by attack by a pathogen, such as for example  
that comprising at least one histone promoter as  
15 described in application EP 0,507,698.

According to the invention, it is also  
possible to use, in combination with the promoter  
regulatory sequence, other regulatory sequences which  
are situated between the promoter and the coding  
20 sequence, such as transcription activators (enhancer),  
such as for example the translation activator of the  
tobacco mosaic virus (TMV) which is described in  
application WO 87/07644, or of the tobacco etch virus  
(TEV) which is described by Carrington & Freed.

25 As polyadenylation or terminator regulatory  
sequence, there may be used any corresponding sequence  
of bacterial origin, such as for example the

*Agrobacterium tumefaciens* nos terminator, or alternatively of plant origin, such as for example a histone terminator as described in application EP 0,633,317.

5           According to the present invention, the chimeric gene may also be combined with a selectable marker suitable for the transformed host organism. Such selectable markers are well known to persons skilled in the art. They may include a gene for resistance to  
 10 antibiotics, or alternatively a gene for tolerance to herbicides for plants.

          The present invention also relates to a cloning or expression vector for the transformation of a host organism containing at least one chimeric gene  
 15 as defined above. This vector comprises, in addition to the above chimeric gene, at least one replication origin. This vector may consist of a plasmid, a cosmid, a bacteriophage or a virus, which are transformed by the introduction of the chimeric gene according to the  
 20 invention. Such transformation vectors, according to the host organism to be transformed, are well known to persons skilled in the art and are widely described in the literature.

          For the transformation of plant cells or of  
 25 plants, they may include in particular a virus which may be used for the transformation of developed plants and which contains in addition its own elements for

replication and expression. Preferably, the vector for transforming plant cells or plants according to the invention is a plasmid.

The subject of the invention is also a method  
 5 of transforming host organisms, in particular plant cells by integration of at least one nucleic acid fragment or a chimeric gene as defined above, which transformation may be obtained by any appropriate known means widely described in the specialized literature  
 10 and in particular the references cited in the present application, more particularly using the vector according to the invention.

A series of methods consists in bombarding cells, protoplasts or tissues with particles to which  
 15 DNA sequences are attached. Another series of methods consists in using, as means of transfer into plants, a chimeric gene inserted into an *Agrobacterium tumefaciens* Ti or *Agrobacterium rhizogenes* Ri plasmid.

Other methods may be used, such as  
 20 microinjection or electroporation, or alternatively direct precipitation by means of PEG.

Persons skilled in the art will make the choice of the appropriate method according to the nature of the host organism, in particular of the plant  
 25 cell or of the plant.

The subject of the present invention is also the host organisms, in particular plant cells or



plants, transformed and containing an effective quantity of a chimeric gene comprising a coding sequence for heliomicine defined above.

The subject of the present invention is also  
 5 the plants containing transformed cells, in particular the plants regenerated from the transformed cells. The regeneration is obtained by any appropriate means which depends on the nature of the species, as described for example in the above references.

10 For the methods of transforming plant cells and of regenerating plants, there may be mentioned in particular the following patents and patent applications: US 4,459,355, US 4,536,475, US 5,464,763, US 5,177,010, US 5,187,073, EP 267,159, EP 604,662, EP  
 15 672,752, US 4,945,050, US 5,036,006, US 5,100,792, US 5,371,014, US 5,478,744, US 5,179,022, US 5,565,346, US 5,484,956, US 5,508,468, US 5,538,877, US 5,554,798, US 5,489,520, US 5,510,318, US 5,204,253, US 5,405,765, EP 442,174, EP 486,233, EP 486,234, EP 539,563, EP  
 20 674,725, WO 91/02701 and WO 95/06128.

The present invention also relates to the transformed plants derived from the cultivation and/or crossing of the above regenerated plants, as well as the seeds of transformed plants.

25 The plants thus transformed are resistant to certain diseases, in particular to certain fungal or bacterial diseases. As a result, the DNA sequence







complementary activity spectrum, that is to say a product which will be active against attacks by contaminants (fungi, bacteria or viruses) which are not sensitive to heliomicine, or alternatively a product  
 5 whose activity spectrum covers that of heliomicine, completely or in part, and whose dose for application will be substantially reduced because of the presence of the heliomicine produced by the transformed plant.

The examples below make it possible to  
 10 illustrate the present invention without however limiting its scope.

**Example I: Isolation and characterization of heliomicine from the haemolymph collected from immunized larvae of the lepidopteron *H. virescens***

15 **Example I.1: Isolation**

**1-1 Induction of the biological synthesis of an antifungal substance in the haemolymph of *H. virescens***

The 5th stage mature larvae of the lepidopteron *H. virescens* were immunized with the aid  
 20 of a needle (30 ga) previously stuck into a pellet of Gram-positive (*M. luteus*) and Gram-negative (*E. coli* 1106) bacteria which is prepared from cultures carried out in a Lauria-Bertani medium for 12 hours at 37°C. The animals thus infected were kept individually in  
 25 tubes containing an agar-based nutrient medium for 24 hours between 20°C and 23°C. Before collecting the haemolymph, the larvae were cooled on ice.

**1-2 Preparation of the plasma**

The haemolymph (about 30  $\mu$ l per larva) was collected by excision of an abdominal appendage and collected in 1.5-ml polypropylene microcentrifuge tubes cooled on ice and containing aprotinin as protease inhibitor (20  $\mu$ g/ml final concentration) and phenylthiourea as melanization inhibitor (final concentration of 20  $\mu$ M). The haemolymph (2 ml) thus collected from the immunized larvae was centrifuged at 14,000 g for 1 min at 4°C in order to remove the haemocytes. The haemolymph, free of blood cells, was stored at -20°C up to its use.

**1-3 Acidification of the plasma**

After rapid thawing, the *H. virescens* plasma was acidified to pH 3 with a 1% trifluoroacetic acid solution. The extraction, under acidic conditions, of the peptide was carried out for 30 min, with gentle stirring, on an ice-cold bath. The extract obtained was then centrifuged at 4°C for 30 min at 10,000 g.

**1-4 Purification of the peptides**

**a) Prepurification by solid phase extraction**

A quantity of extract equivalent to 2 ml of haemolymph was deposited on a reversed-phase support, as marketed in the form of a cartridge (Sep-Pak™ C18, Waters Associates), equilibrated with acidified water (0.05% TFA). The hydrophilic molecules were removed by a simple wash with acidified water. The elution of the

peptide was carried out with a 40% acetonitrile solution prepared in 0.05% TFA. The fraction eluted at 40% of acetonitrile was dried under vacuum with the aim of removing the acetonitrile and the TFA and then it  
 5 was reconstituted in sterile ultrapure water before being subjected to the first purification step.

**b) Purification by high-performance liquid chromatography (HPLC) on a reversed-phase column**

- **first step:** the fraction containing the  
 10 peptide was analysed by reversed-phase chromatography on an Aquapore RP-300 C<sub>8</sub> semipreparative column (Brownlee™, 220 × 70 mm, 300 Å), the elution was carried out using a linear gradient of acetonitrile from 2 to 60% in 0.05% TFA over 120 minutes at a  
 15 constant flow rate of 1.5 ml/min. The fractions were collected manually, monitoring the variation of the absorbance at 225 nm and 254 nm. The fractions collected were dried under vacuum, reconstituted with ultrapure water and analysed for their antifungal  
 20 activity using the test described below.

- **second step:** the antifungal fraction corresponding to the peptide was analysed on an Aquapore RP-300 C<sub>8</sub> reversed-phase analytical column (Brownlee™, 220 × 4.6 mm, 300 Å), using a biphasic linear gradient  
 25 of acetonitrile from 2% to 22% over 10 min and from 22 to 32% over 50 min in 0.05% TFA with a constant flow rate of 0.8 ml/min. The fractions were collected

manually, monitoring the variation of the absorbance at 225 nm and 254 nm. The fractions collected were dried under vacuum, reconstituted with ultrapure water and analysed for their antifungal activity under the  
 5 conditions described below.

- **third step:** the antifungal fraction containing the peptide was purified to homogeneity on a Narrowbore Delta-Pak™ HPIC<sub>18</sub> reversed-phase column (Waters Associates, 150 × 2.2 mm) using a biphasic linear  
 10 gradient of acetonitrile from 2% to 24% over 10 min and from 24 to 44% over 100 min in 0.05% TFA with a constant flow rate of 0.25 ml/min at a controlled temperature of 30°C. The fractions were collected manually, monitoring the variation of the absorbance at  
 15 225 nm. The fractions collected were dried under vacuum, reconstituted with filtered ultrapure water and analysed for their antifungal activity.

**Example I.2: structural characterization of the peptide**  
**2-1 Verification of purity by zonal capillary**  
 20 **electrophoresis**

The purity of the antifungal peptide was verified by zonal capillary electrophoresis on a 270-HT model (PEApplied Biosystems division of Perkin Elmer). 1 nl of a 50 μM solution of purified peptide was  
 25 injected with the aid of a vacuum into a silica capillary (72 cm × 50 μm) and the analysis was carried out in a 20 mM citrate buffer at pH 2.5. The



electrophoresis was carried out at 20 kV from the anode to the cathode for 20 min at 30°C. The migration was recorded at 200 nm.

## **2-2 Determination of the number of cysteines :**

### **5 reduction and S-pyridylethylation**

The number of cysteine residues was determined on the native peptide by reduction and S-pyridylethylation. 100 pmol of native peptide were reduced in 40  $\mu$ l of 0.5 M Tris-HCl buffer, pH 7.5 containing 2 mM EDTA and 6 M guanidinium chloride in the presence of 2  $\mu$ l of 2.2 M dithiothreitol. The reaction medium was placed under a nitrogen atmosphere. After incubating for 60 min in the dark, 2  $\mu$ l of freshly distilled 4-vinylpyridine were added to the reaction which was then incubated for 10 min at 45°C in the dark and under a nitrogen atmosphere. The pyridylethylated peptide was then separated from the constituents of the reaction medium by reversed-phase chromatography using a linear gradient of acetonitrile in the presence of 0.05% TFA.

### **2-3 Determination of the mass of the native peptide, of the S-pyridylethylated peptide and of the proteolysis fragments by MALDI-TOF (Matrix Assisted Laser Desorption Ionization-Time of Flight) mass spectrometry**

25 The mass measurements were carried out on a Bruker Biflex MALDI-TOF mass spectrometer (Bremen, Germany) in a positive linear mode. The mass spectra

were calibrated externally with a standard mixture of peptides of known m/z, respectively 2199.5 Da, 3046.4 Da and 4890.5 Da. The various products to be analysed were deposited on a thin layer of  $\alpha$ -cyano-4-  
 5 hydroxycinnamic acid crystals which is obtained by rapid evaporation of a solution saturated with ethanol. After drying under a moderate vacuum, the samples were washed with a drop of 0.1% trifluoroacetic acid before being introduced into the mass spectrum.

10 **2-4 Sequencing by Edman degradation**

The automated sequencing by Edman degradation of the native peptide, of the S-pyridylethylated peptide and of the various fragments obtained after the various proteolytic cleavages and the detection of the  
 15 phenylthiohydantoin derivatives were carried out on an ABI473A sequencer (PEApplied Biosystems division of Perkin Elmer).

**2-5 Proteolytic cleavages**

20 **- Confirmation of the peptide sequence in the C-terminal region**

200 pmol of reduced and S-pyridylethylated peptide were incubated in the presence of 5 pmol of endoproteinase-Lys-C (*Acromobacter* protease I, specific cleavage of the lysine residues on the C-terminal side,  
 25 Takara, Otsu) according to the conditions recommended by the supplier (10 mM Tris-HCl, pH 9, in the presence of 0.01% Tween 20). After stopping the reaction with 1%

TFA, the peptide fragments were separated by reversed-phase HPLC on a Narrowbore Delta-Pak™ HPIC<sub>18</sub> type column (Waters Associates 150 × 2 mm) in a linear gradient of acetonitrile from 2 to 60% over 80 min in 0.05% TFA  
 5 with a flow rate of 0.2 ml/min and a constant temperature of 37°C. The fragments obtained were analysed by MALDI-TOF mass spectrometry and the peptide corresponding to the C-terminal fragment was sequenced by Edman degradation.

10 - **Determination of the arrangement of the disulphide bridges by proteolysis with thermolysin**

The native peptide (8 µg) was incubated for 1 hour in the presence of 4 µg of thermolysin (Boehringer Mannheim, thermolysin/peptide ratio, 1/2 by weight :  
 15 weight) at 37°C in 0.1 M MES (N-ethylmorpholine) buffer at pH 7 in the presence of 2 mM CaCl<sub>2</sub>. The reaction was stopped by addition of formic acid and the reaction products were immediately separated by reversed-phase chromatography on a Narrowbore Delta-Pak™ HPIC<sub>18</sub> column  
 20 (Waters Associates, 150 × 2.2 mm) in a linear gradient of acetonitrile from 2 to 50% over 100 min in 0.05% TFA at the flow rate of 0.2 ml/min at 30°C preceded by an isocratic step at 2% acetonitrile over 10 min. The fragments obtained were analysed by MALDI-TOF mass  
 25 spectrometry and sequenced by Edman degradation.

**Example II: Expression of heliomicine in the yeast Saccharomyces cerevisiae**

All the techniques used below are standard laboratory techniques. The detailed protocols for these techniques have been described in particular in Ausubel *et al.*

5 **Example II-1: Assembling of the synthetic gene**

Assembling was carried out using 6 synthetic oligonucleotides encoding the 44 amino acids of heliomicine preceded by the 5 C-terminal amino acids of the pre-pro sequence of factor  $\alpha 1$  (Mfa1) of the yeast.

10 The oligonucleotides represented in Figure 1 were chosen taking into account the preferential codons used by *S. cerevisiae*.

The assembling took place in several steps:

- oligonucleotides 2 to 5 were phosphorylated  
 15 at their 5' ends by the action of polynucleotide kinase (New England Biolabs);

- oligonucleotides 1 to 6 were mixed, heated to 100°C and hybridized by slowly reducing the temperature to 25°C over 3 hours;

20 - the hybridized oligonucleotides were subjected to a treatment with T4 bacteriophage ligase (New England Biolabs) for 15 hours at 15°C;

- the DNA unit resulting from the hybridization of the oligonucleotides which is  
 25 represented in Figure 1, flanked by the HindIII and BglII restriction sites, was inserted into the plasmid pBluescript SK+ (Stratagene) digested with the enzymes



Invert. Reprod. Dev. 21, pp 15-24) was transformed with the plasmid pSEA2. The transformants were selected at 29°C on a selective YNBG medium (0.67% yeast nitrogen base, 2% glucose), supplemented with 0.5% of casamino acids and containing no uracil. After transformation, several yeast clones, selected for the ura<sup>+</sup> character, were cultured for 48 h at 29°C in 50 ml of selective medium. After centrifugation (4000 g, 30 min, 4°C), the supernatant was acidified to pH 3.5 with acetic acid, before being deposited on a Sep-Pak<sup>™</sup> C<sub>18</sub> cartridge (Waters Associates) equilibrated with acidified water (0.05% TFA). The various proteins bound to the cartridge were eluted with solutions of 0.05% TFA containing increasing percentages of acetonitrile.

The 40% fraction, exhibiting an antifungal activity, was analysed by HPLC on an Aquapore RP-300 C<sub>8</sub> reversed-phase analytical column (Brownlee<sup>™</sup>, 220 × 4.6 mm, 300 Å), using a linear gradient of acetonitrile from 2% to 40% over 80 min in 0.05% TFA with a constant flow rate of 0.8 ml/min. The fractions were collected manually by monitoring the variation in absorbance at 225 nm and 254 nm. The fractions collected were dried under vacuum, reconstituted with ultrapure water and analysed for their antifungal activity under the conditions described in Example III. The structural characterization of the peptide was carried out as described in Example I.2.



250 × 10 mm), using a linear gradient of NaCl from 0% to 100% over 90 min in 25 mM ammonium acetate, pH 3.4 with a constant flow rate of 2 ml/min. The fractions collected were dried under vacuum, reconstituted with  
 5 ultrapure water and analysed for their antifungal activity under the conditions described below.

- second step of purification by HPLC: the heliomicine was purified to homogeneity by chromatography on an Aquapore RP-300 C<sub>8</sub> semipreparative  
 10 reversed-phase column (Brownlee™, 220 × 7 mm, 300 Å), using a linear gradient of acetonitrile from 2% to 40% over 80 min in 0.05% TFA with a constant flow rate of 2 ml/min.

**Example III: Test of activity in vitro: measurement of**  
 15 **the antifungal activity by microspectrophotometry**

This methodology was used to test for the antifungal molecules during the various purification steps, for the determination of the activity spectrum of the peptide and for the determination of the minimum  
 20 inhibitory concentration (MIC) at which the peptide was active. The MIC was expressed as the concentration range [a] - [b] where [a] was the minimum concentration where the start of growth is observed and [b] the concentration for which no growth was observed.

25 Examples of the specific activity of heliomicine, against filamentous fungi and yeasts, are given in Tables 1 and 2.



**Example III-1: Test for detection of activity against filamentous fungi**

The antifungal activity was detected by a test for inhibition of growth in a liquid medium. The spores of the fungi to be tested were suspended in a culture medium of the "potato-glucose" type. Preferably, 12 g of Potato Dextrose Broth medium (Difco) were used per 1 l of demineralized water. Two antibiotics were added to the culture medium: tetracycline (final concentration of 10 µg/ml) and cefotaxime (100 µg/ml). 10 µl of each fraction to be analysed are deposited in microtitre plates in the presence of 90 µl of culture medium containing the spores (at a final concentration of 10<sup>4</sup> spores/ml). The incubation was carried out in a humid chamber at 30°C for 48 hours. Fungal growth was observed under a light microscope after 24 h and quantified after 48 hours by measuring the absorbance at 600 nm with the aid of a spectrophotometric microtitre plate reader.

- filamentous fungi tested: *Aspergillus fumigatus* (gift from Dr H. Koenig, Hôpital civil, Strasbourg); *Nectria haemotococca*, *Fusarium culmorum*, *Trichoderma viride* (fungus culture collection of the Université Catholique of Leuven, Belgium); *Neurospora crassa*, *Fusarium oxysporum*, (fungus culture collection of Société Clause, Paris).

The results of the test of heliomicine

activity against filamentous fungi are presented in Table 1 below.

Table 1: activity of heliomicine against filamentous fungi

Fungi	MIC of heliomicine (µM)
Neurospora crassa	0.1-0.2
Fusarium culmorum	0.2-0.4
Fusarium oxysporum	1.5-3
Nectria haematococca	0.4-0.8
Trichoderma viride	1.5-3
Aspergillus fumigatus	6-12.5

5

**Example III-2: Test for detection of activity against yeasts**

The various yeast strains were incubated in a "Sabouraud" type culture medium and incubated at 30°C for 24 h with gentle stirring. The test sample (10 µl) was deposited in microtitre plate wells to which there were added 90 µl of a dilute yeast culture whose density was adjusted to OD 600 = 0.001. Growth was evaluated by measuring the absorbance at 600 nm with the aid of a spectrophotometric microtitre plate reader.

- yeasts tested: *Candida albicans*,  
*C. glabrata*, *C. tropicalis*, *C. krusei*, *C. inconspicua*,  
*Cryptococcus neoformans*, *Cryp. albidus*, *Saccharomyces cerevisiae* (gift from Dr H. Koenig, Hôpital civil,

20

Strasbourg).

The results of the test of heliomicine activity against yeasts are presented in Table 2 below.

Table 2: activity of heliomicine against yeasts

Yeasts	MIC of heliomicine (µM)
Candida albicans	2.5-5
Candida tropicalis	2.5-5
Candida krusei	10-20
Candida inconspicua	5-10
Cryptococcus neoformans	2.5-5
Cryptococcus albidus	5-10

5

These results show the excellent antifungal activity of the peptide according to the invention.

**Example IV: Preparation of a transformed plant comprising a gene encoding heliomicine**

10

This example describes the preparation of the sequence encoding heliomicine for its expression in a plant cell, of the chimeric gene, of the integrating vector and of the transformed plants. Figures 2 to 6 in the annexe describe the schematic structures of some plasmids prepared for the construction of the chimeric genes. In these figures, the various restriction sites are marked in *italics*.

15

All the techniques used below are standard laboratory techniques. The detailed protocols for these techniques are in particular described in Ausubel et

20

al.

**Example IV-1: Construction of the chimeric genes for the transformation of plants**

**PRPA-MD-P: Creation of a plasmid containing the signal peptide of the tobacco PR-1 $\alpha$  gene**

The two complementary synthetic oligonucleotides Oligo 7 and Oligo 8 below are hybridized at 65°C for 5 minutes and by slow reduction of the temperature to 30°C over 30'.

Oligo 7: 5' GCGTCGACGC GATGGGTTTC GTGCTTTTCT CTCAGCTTCC  
 ATCTTTCCTT CTTGTGTCTA CTCTTCTTCT TTTCC 3'

Oligo 8: 5' TCGCCGGCAC GGCAAGAGTA AGAGATCACA AGGAAAAGAA  
 GAAGAGTAGA CACAAGAAGG AAAGATGGAA GC 3'

After hybridization between Oligo 7 and Oligo 8, the DNA remaining single-stranded serves as template for the Klenow fragment of *E. coli* polymerase I (under the standard conditions recommended by the manufacturer (New England Biolabs)) for the creation of the double-stranded oligonucleotide starting from the 3' end of each oligo. The double-stranded oligonucleotide obtained is then digested with the restriction enzymes SacII and NaeI and cloned into the plasmid pBS II SK(-) (Stratagene) digested with the same restriction enzymes. A clone is then obtained which comprises the region encoding the signal peptide of the tobacco PR-1 $\alpha$

gene (SEQ ID NO 4).

**PRPA-PS-PR1a-helio: Creation of a sequence encoding heliomicine fused with the PR-1 $\alpha$  signal peptide with no untranscribed region in 3'**

5                   The two synthetic oligonucleotides complementary to Oligo 9 and Oligo 10 sequences according to the operating conditions described for pRPA-MD-P.

Oligo 9:           5' GATAAGCTTA TCGGTTCCCTG CGTGTGGGGT GCTGTGAACT  
ACACTTCCGA TTGCAACGGT GAGTGCAAGA GGAGGGGTTA 3'

Oligo 10:          5' CCGGATCCGT CGACACGTTT GCCTCGCCGA GCTCTCAAGT  
CTCGCACCAG CAGTTCACGT TAGCGAAGGA ACCGCAGTGA  
CCACCCTTGT AACCCCTCCT CTTGCACTC 3'

10

After hybridization between Oligo 9 and Oligo 10, the DNA remaining single-stranded serves as template for the Klenow fragment of *E. coli* polymerase I (under the standard conditions recommended by the manufacturer (New England Biolabs)) for the creation of the double-stranded oligonucleotide starting from the 3' end of each oligo. This double-stranded oligonucleotide containing the coding portion of heliomicine (SEQ ID NO 2) is then cloned directly into the plasmid pRPA-MD-P which has been digested with the restriction enzyme NaeI. The correct orientation of the clone obtained is checked by sequencing. A clone is then obtained which comprises the region encoding the PR-1 $\alpha$ -heliomicine fusion protein situated between the  
25

NcoI restriction sites at the N-terminal end and the ScaI, SacII and BamHI restriction sites at the C-terminal end (SEQ ID NO 3).

**pRPA-RD-239: Creation of a vector for expression in plants comprising the sequence encoding the PR-1 $\alpha$ -heliomicine fusion protein**

The plasmid pRTL-2 GUS, derived from the plasmid pUC-19, was obtained from Dr Jim Carrington (Texas A&M University, not described). This plasmid, whose schematic structure is represented in Figure 2, contains the duplicated CaMV 35S promoter isolated from the cauliflower mosaic virus (CaMV 2 $\times$ 35S promoter; Odell *et al.*, 1985) which directs the expression of an RNA containing the tobacco etch virus 5' untranslated sequence (TEV 5' UTR; Carrington & Freed, 1990), the *E. coli*  $\beta$ -glucuronidase gene (GUS Jefferson *et al.*, 1987) followed by the CaMV 35S RNA polyadenylation site (CaMV polyA; Odell *et al.*, 1985).

The plasmid pRTL-2 GUS is digested with the restriction enzymes NcoI and BamHI and the large DNA fragment is purified. The plasmid pRPA-PS-PR1 $\alpha$ -helio is digested with the restriction enzymes NcoI and BamHI and the small DNA fragment containing the region encoding the PR-1 $\alpha$ -heliomicine fusion protein is purified. The two purified DNA fragments are then ligated together into a cassette for expression in plants which synthesizes a PR-1 $\alpha$ -heliomicine fusion

protein. The schematic structure of this expression cassette is represented in Figure 3. "PR-1 $\alpha$ -heliomicine" represents the coding region for the PR-1 $\alpha$ -heliomicine fusion protein of pRPA-RD-239. The heliomicine is transported to the extracellular matrix of the plant by the action of the PR-1 $\alpha$  signal peptide.

**pRPA-RD-195: Creation of a plasmid containing a modified multiple cloning site**

The plasmid pRPA-RD-195 is a plasmid derived from pUC-19 which contains a modified multiple cloning site. The complementary synthetic oligonucleotides Oligo 11 and Oligo 12 below are hybridized and made double-stranded according to the procedure described for pRPA-MD-P.

Oligo 11: 5' AGGGCCCCCT AGGGTTTAAA CGGCCAGTCA GGCCGAATTC  
 GAGCTCGGTA CCCGGGGATC CTCTAGAGTC GACCTGCAGG  
 CATGC 3'  
 Oligo 12: 5' CCCTGAACCA GGCTCGAGGG CGCGCCTTAA TTAAAAGCTT  
 GCATGCCTGC AGGTCGACTC TAGAGG 3'

The double-stranded oligonucleotide obtained is then ligated into pUC-19 which has been previously digested with the restriction enzymes EcoRI and HindIII and made blunt-ended using the Klenow fragment of *E. coli* DNA polymerase I. A vector is obtained which contains multiple cloning sites in order to facilitate the introduction of the cassettes for expression in an *Agrobacterium tumefaciens* vector plasmid. The schematic

structure of this multiple cloning site is represented in Figure 4.

**pRPA-RD-240: Introduction of the cassette for expression of PR-1 $\alpha$ -heliomicine from pRPA-RD-239 into**  
5 **pRPA-RD-195**

The plasmid pRPA-RD-239 is digested with the restriction enzyme PstII. The DNA fragment containing the cassette for expression of PR-1 $\alpha$ -heliomicine is purified. The purified fragment is then ligated into  
10 pRPA-RP-195 which has been previously digested with the restriction enzyme PstII and dephosphorylated with calf intestinal phosphatase.

**pRPA-RD-174: Plasmid derived from pRPA-BL-150A (EP 0,508,909) containing the gene for tolerance to**  
15 **bromoxynil of pRPA-BL-237 (EP 0,508,909)**

The gene for tolerance to bromoxynil is isolated from pRPA-BL-237 by gene amplification by PCR. The fragment obtained is blunt-ended and is cloned into the EcoRI site of pRPA-BL-150A which has been made  
20 blunt-ended by the action of Klenow polymerase under standard conditions. An *Agrobacterium tumefaciens* vector is obtained which contains the gene for tolerance to bromoxynil near its right border, a gene for tolerance to kanamycin near its left border and a  
25 multiple cloning site between these two genes.

The schematic structure of pRPA-RD-174 is represented in Figure 5. In this figure, "nos"



represents the *Agrobacterium tumefaciens* nopaline  
 synthase polyadenylation site (Bevan *et al.*, 1983),  
 "NOS pro" represents the *Agrobacterium tumefaciens*  
 nopaline synthase promoter (Bevan *et al.*, 1983), "NPT  
 5 II" represents the *E. coli* Tn5 transposon neomycin  
 phosphotransferase gene (Rothstein *et al.*, 1981), "35S  
 pro" represents the 35S promoter isolated from the  
 cauliflower mosaic virus (Odell *et al.*, 1985), "BRX"  
 represents the nitralase gene isolated from *K. ozaenae*  
 10 (Stalker *et al.*, 1988), "RB" and "LB" represent the  
 right and left borders respectively of the sequence of  
 an *Agrobacterium tumefaciens* Ti plasmid.

**prPA-RD-184: Addition of a new unique restriction site  
 into prPA-RD-174**

15 The complementary synthetic oligonucleotides  
 Oligo 13 and Oligo 14 below are hybridized and made  
 blunt-ended according to the procedure described for  
 prPA-MD-P.

Oligo 13: 5' CCGGCCAGTC AGGCCACACT TAATTAAGTT TAAACGCGGC  
 CCCGGCGCGC CTAGGTGTGT GCTCGAGGGC CCAACCTCAG  
 TACCTGGTTC AGG 3'

Oligo 14: 5' CCGGCCTGAA CCAGGTACTG AGGTTGGGCC CTCGAGCACA  
 CACCTAGGCG CGCCGGGGCC GCGTTTAAAC TTAATTAAGT  
 20 GTGGCCTGAC.TGG 3'

The hybridized double-stranded  
 oligonucleotide (95 base pairs) is purified after  
 separation on an agarose gel (3% Nusieve, FMC). The

plasmid pRPA-RD-174 is digested with the restriction enzyme XmaI, and the large DNA fragment is purified. The two DNA fragments obtained are then ligated.

A plasmid derived from pRPA-RD-174 is  
5 obtained which comprises other restriction sites between the gene for tolerance to bromoxynil and the selectable marker kanamycin gene.

The schematic structure of the plasmid pRPA-RD-184 is represented in Figure 6 where the terms  
10 "nos", "NPT-II", "NOS pro", "35S pro", "BRX gene", "RB" and "LB" have the same meaning as in Figure 5.

**pRPA-RD-241: Creation of an *Agrobacterium tumefaciens* vector containing the construct of the gene encoding heliomicine directed towards the extracellular matrix**

15 The plasmid pRPA-RD-240 is digested with the restriction enzymes SfiII and AscI and the DNA fragment containing the PR-1 $\alpha$ -heliomicine gene is purified. The plasmid pRPA-RD-184 is digested with the same restriction enzymes. The DNA fragment containing the  
20 cassette for expression of PR-1 $\alpha$ -heliomicine is then ligated into pRPA-RD-184. An *Agrobacterium tumefaciens* vector is thus obtained which contains the sequence encoding the PR-1 $\alpha$ -heliomicine fusion protein which leads to the expression of heliomicine in the  
25 extracellular matrix of the plant.

**Example IV-2: Creation of an expression cassette CsVMV promoter - PG1 signal peptide - heliomicine - Nos**





obtained by insertion of the XbaI-KpnI restriction  
fragment of the vector pRPA-NP5 containing the PG1  
signal peptide-heliomicine fusion into the vector  
pILTAB 357 digested with these same enzymes. The  
5 resulting clone therefore contains the expression  
cassette CsVMV promoter-PG1 signal peptide-heliomicine-  
Nos terminator (SEQ ID NO 20).

### **Example IV-3: Preparation of transformed tobacco**

#### **3.1 - Transformation**

10 The vectors pRPA-RD-241 and pRPA-NP6 are  
introduced into the *Agrobacterium tumefaciens* EHA101 or  
EHA105 strain (Hood *et al.*, 1987) carrying the cosmid  
pTVK291 (Komari *et al.*, 1986). The transformation  
technique is based on the procedure of Horsh *et al.*  
15 (1985).

#### **3.2- Regeneration**

The regeneration of the PBD6 tobacco (origin  
SEITA France) from foliar explants is carried out on a  
Murashige and Skoog (MS) basic medium comprising 30 g/l  
20 of sucrose as well as 200 µg/ml of kanamycin. The  
foliar explants are collected from plants cultivated in  
a greenhouse or *in vitro* and regenerated according to  
the foliar disc technique (Horsh *et al.*, 1985) in three  
successive stages: the first comprises the induction of  
25 shoots on a medium supplemented with 30 g/l of sucrose  
containing 0.05 mg/l of naphthylacetic acid (ANA) and  
2 mg/l of benzylaminopurine (BAP) for 15 days. The



conducted on 8 transgenic plants for the construct pRPA-NP6, as well as on a wild-type control. Well-developed leaves of tobacco in a greenhouse were finely ground at the temperature of liquid nitrogen, and the

5 proteins extracted for 1 h at 4°C in 50 mM Tris-HCl buffer, 1% PVP25, 0.05% Triton X100, pH 7.5 in an amount of 4 ml of buffer per gram of fresh weight. After centrifugation, the concentration of protein in the supernatant was determined by the Bradford method.

10 Five micrograms of protein of each of the 9 extracts were deposited on nitrocellulose membrane in a "slot-blot" format, as well as a quantity of 50 ng of pure heliomicine which serves as positive control. The membrane was incubated for 1 h in 1% blocking buffer

15 (Boehringer; ref 1 921 673) in TBS, and then incubated overnight at 4°C with immunopurified antibodies directed against heliomicine, diluted, 1/2000 in TBS buffer with 0.05% Tween 20. After washing (TBS, 0.1 Tween 20 and 0.5% of blocking buffer), the membrane was

20 incubated for 1 h at room temperature (TBS with 0.5% blocking buffer) with a goat antibody (diluted 1/50 000) directed specifically against rabbit immunoglobulins and coupled to alkaline phosphatase (SIGMA A-3687). After washing (TBS, 0.1% Tween 20), the

25 detection is made by adding a phosphatase substrate (BioRad; ref 170-5012), and the revealing is obtained by radiography of the luminescent product on Amersham

film (ECL).

The result of this experiment shows that 4 transgenic tobacco plants strongly express heliomicine. The signal in the other transgenic plants is weak or  
 5 not significant compared with the wild-type control. The signal observed for the best plant is at the level of the positive control (50 ng of heliomicine), which indicates that in this plant, heliomicine represents by weight about 1% of the total proteins.

10 **Example V-1: emulsifiable concentrates**

Example EC1:

-active substance	400 g/l
-alkali metal dodecylbenzenesulphonate	24 g/l
-oxyethylated nonylphenol containing 10 molecules of ethylene oxide	16 g/l
-cyclohexanone	200 g/l
-aromatic solvent	qs 1 litre

Example EC2:

-active substance	250 g
-epoxidized vegetable oil	25 g
-mixture of alkylarylsulphonate and polyglycol ether and fatty alcohols	100 g
-dimethylformamide	50 g
-xylene	575 g



**Example V-2: flowable**

Example F 1:

-active substance	500 g
-polyethoxylated tristyrilphenol phosphate	50 g/l
-polyethoxylated alkylphenol	50 g
-sodium carboxylate	20 g
-ethylene glycol	50 g
-organopolysiloxane oil (antifoam)	1 g
-polysaccharide	1.5 g
-water	316.5 g

**Example V-3: wettable powders (or spraying powders):**

Example WP 1

-active substance	50%
-ethoxylated fatty alcohol (wetting agent)	2.5%
-ethoxylated phenylethylphenol (dispersing agent)	5%
-chalk (inert carrier)	42.5%

Example WP 2:

-active substance	10%
-C13, branched type oxo synthetic alcohol ethoxylated with 8 to 10 ethylene oxide (wetting agnt)	0.75%
-neutral calcium lignosulphonate (dispersing agent)	12%
-calcium carbonate (inert filler)	qs 100%

Example WP 3:

-active substance	75%
-wetting agent	1.50%
-dispersing agent	8%
-calcium carbonate (inert filler)	qs 100%

Example WP 4:

-active substance	90%
-ethoxylated fatty alcohol (wetting agent)	4%
-ethoxylated phenylethylphenol (dispersing agent)	6%

Example WP 5:

-active substance	50%
-mixture of anionic and nonionic surfactants (wetting agent)	2.5%
-sodium lignosulphonate (dispersing agent)	5%
-kaolinic clay (inert carrier)	42.5%

**Example V-4: dispersible granules**

Example DG 1

5                   90% by weight of active substance and 10% of  
 pearl urea are mixed in a mixer. The mixture is then  
 ground in a toothed roll grinder. A powder is obtained  
 which is wetted with about 8% by weight of water. The  
 wet powder is extruded in a perforated roll extruder.  
 10 Granules are obtained which are dried and then crushed  
 and sieved so as to retain respectively only the

granules having a size of between 150 and 2000 microns.

Example DG2:

The following constituents are mixed in a mixer:

- active substance 75%
- wetting agent (sodium alkylnaphthalenesulphonate) 2%
- dispersing agent (sodium polynaphthalenesulphonate) 8%
- inert filler insoluble in water (kaolin) 15%

This mixture is granulated on a fluidized bed, in the presence of water, and then dried, crushed  
 5 and sieved so as to obtain granules having a size of between 0.15 and 0.80 mm.

**Example V-5: pharmaceutical compositions**

Example A: tablets

Tablets containing 50 mg doses of active  
 10 peptide having the following composition are prepared according to the usual technique:

- peptide heliomicine M1 50 mg
- starch 60 mg
- lactose 50 mg
- magnesium stearate 2 mg

Example B: injectable solution

An injectable solution containing 20 mg of  
 15 active peptide having the following composition is

prepared:

- peptide heliomicine M 2                                      22.4 mg
- distilled water    qs 2 cm<sup>3</sup>

**Example VI. Stability of the activity of heliomicine**

5                    The stability of an antimicrobial peptide towards plant proteases is an essential factor for obtaining a good level of expression and therefore of resistance to phytopathogens in transgenic plants. This stability is for example a critical point for an insect antimicrobial peptide such as cecropin B (Owens and Heutte, 1997, MPMI vol 10, No. 4, pp 525-528). We examined the stability of heliomicine and of its activity on a test phytopathogen (*Botrytis cinerea*) after incubation with crude extracts of 8 major crop plants (maize, wheat, barley, rape, soyabean, sunflower, tomato and tobacco).

15                    The leaves of these 8 species were ground at low temperature (liquid nitrogen) in a mortar, and then the powder was resuspended in the same volume of water. After centrifugation (10,000 g for 30 minutes), the supernatant was recovered and the protein concentration determined. This concentration was adjusted for the 8 extracts to 1 mg/ml by dilution with water and then these extracts were filtered sterilely (0.2 microns).

20                    One hundred microlitres of each extract (as well as a

25

control with only water) were then added to 50  
 microlitres of a solution of heliomicine (containing 15  
 micrograms, as well as a control without peptide) in  
 water. These mixtures were) incubated at 30°C, one  
 5 aliquot of 20 microlitres collected after 0 h, 1 h,  
 2 h, 4 h and 20 h and immediately frozen up to the  
 test.

The test of antifungal activity was carried  
 out at 25°C in microplates by adding each aliquot to 80  
 10 microlitres of a fresh suspension of *Botrytis cinerea*  
 spores (10,000 spores/ml in a solution of Potato  
 Dextrose Broth (Difco, 12 g/l)). Visual reading of the  
 results after 12 h and 24 h shows that there is no  
 significant loss of antifungal activity of heliomicine  
 15 even for the sample incubated for 20 h at 30°C, linked  
 to the exposure of a crude extract of maize, wheat,  
 barley, rape, soyabean, sunflower, tomato or tobacco.  
 This result indicates a very high stability of  
 heliomicine to plant proteases, and that the antifungal  
 20 activity tested on *Botrytis cinerea* is not affected by  
 the presence of crude plant extracts.

**Example VII: Production of various heliomicine mutants:  
 single, double, triple and quadruple mutants**

25 The mutants below are prepared according to  
 the method described in Example II by replacing some of  
 the oligonucleotides 1 to 6 with other oligonucleotides

chosen in order to introduce the mutations.

- **heliomicine R48**: replacement of the amino acid Glu48 of the sequence ID NO: 1 with a basic amino acid, in particular an arginine (Arg48). By analogy  
 5 with the sequence encoding the heliomicine having the sequence: SEQ ID NO: 1, the codon GAA encoding Glu is replaced by the codon AGA encoding Arg. The oligonucleotides 19 and 20 are used as a replacement for the oligonucleotides 5 and 6 of Example II.

10 Oligo 19: 5' GATCCTTCGC TAACGTTAAC TGTTGGTGTA  
 GAACCTGATA GG 3'

Oligo 20: 5' TCGACCTATC AGGTTCTACA CCAACAGTTA  
 ACGTTAGCGA AG 3'

- **heliomicine L28L29**: replacement of two  
 15 basic amino acids Lys and Arg at position 28 and 29 of the sequence ID NO: 1 with two hydrophobic amino acids, in particular two leucine amino acids (Leu28 and 28). By analogy with the sequence encoding the heliomicine having the sequence: SEQ ID NO:1, the part AAG-CGC  
 20 encoding the peptide residue Lys-Arg is replaced by the sequence TTG-TTG encoding the peptide residue Leu-Leu. The oligonucleotides 21 and 22 are used as a replacement for the oligonucleotides 3 and 4 of Example II.

25 Oligo 21: 5' CTAGTGACTG CAACGGCGAG TGCTTGTTGC GC 3'

Oligo 22: 5' GCAACAAGCA CTCGCCGTTG CAGTCA 3'

- **heliomicine L28L29R48**: replacement of the

two basic amino acids Lys and Arg at position 28 and 29 of the sequence ID NO: 1 by two leucine amino acid residues and replacement of the amino acid Glu48 of the sequence ID NO: 1 by the amino acid arginine (Arg48).

5 The oligonucleotides 19 to 22 are used as a replacement for the oligonucleotides 3 to 6 according to Example II.

- **heliomicine A24A25**: replacement of the two amino acids Asn24 and Gly25 of the sequence ID NO: 1  
 10 two alanine amino acids (Ala24 and Ala25). By analogy with the sequence encoding the heliomicine of the sequence ID NO: 1, the part AAC-GGC encoding the peptide residue Asn-Gly is replaced by the sequence GCT-GCT encoding Ala-Ala. The oligonucleotides 23 and  
 15 24 are used as a replacement for the oligonucleotides 3 and 4 of Example II.

Oligo 23: 5' CTAGTGACTG CGCTGCTGAG TGCAAGCGGC GC 3'

Oligo 24: 5' GCCGCTTGCA CTCAGCAGCG CAGTCA 3'

- **heliomicine A6A7A8A9**: replacement of the  
 20 amino acids Asp6-Lys7-Leu8-Ile9 of the sequence ID NO: 1 by 4 alanine amino acids (Ala). By analogy with the sequence encoding the heliomicine of the sequence ID NO:1, the part GAC-AAG-TTG-ATT encoding the peptide residue Asp-Lys-Leu-Ile is replaced by the sequence  
 25 GCT-GCT-GCT-GCT encoding the peptide residue Ala-Ala-Ala-Ala. The oligonucleotides 25 and 26 are used as a replacement for the oligonucleotide 1 of Example II and

the oligonucleotides 27 and 28 as a replacement for the oligonucleotide 2.

Oligo 25: 5' AGCTTGGATA AAAGAGCTGC TGCTGCTGGT  
AGCTGTGTTT 3'

5 Oligo 26: 5' GGGGCGCCG TCAACTACA 3'

Oligo 27: 5' CTAGTGTAGT TGACGGCGCC CC 3'

Oligo 28: 5' AAACACAGCT ACCAGCAGCA GCAGCTCTTT TATCCA 3'

- heliomicine A24A25L28L29: Two

oligonucleotides (sense and antisense) were necessary  
10 to compensate for the absence of a restriction site  
between the sequence encoding the peptide residue  
consisting of the two amino acids Asn24-Gly25 and the  
sequence encoding the peptide residue consisting of the  
two amino acids Lys28-Arg29 of the heliomicine of the  
15 sequence ID NO: 1. The two oligonucleotide sequences 29  
and 30 replace respectively the two oligonucleotide  
sequences 3 and 4 of Example II.

Oligo 29: 5' CTAGTGA CTG CGCTGCTGAG TGCTTGTTGC GC 3'

Oligo 30: 5' GCAACAAGCA CTCAGCAGCG CAGTCA 3'

20 **Production of mutated heliomicine on the  
semipreparative scale**

The various mutants of heliomicine are prepared and purified in the following manner. One of the transformed yeast clones expressing the mutated  
25 heliomicine was cultured at 29°C for 48 h in 50 ml of selective medium. This preculture was then used to inoculate 2 l of selective medium and the culture was



carried out for 48 h at 29°C. The yeasts were removed by centrifugation (4000 g, 30 min, 4°C). The supernatant was acidified to pH 3.5 with acetic acid, subjected to a second centrifugation (4000 g, 30 min, 5 4°C) before a first solid phase extraction step.

- first solid phase extraction step on a reversed phase gel: the acidified supernatant is deposited on a C18 reversed phase Sep-Pak Vac 35cc cartridge (Waters Associates, 10 g of phase) 10 equilibrated with acidified water (0.05% TFA). The hydrophilic molecules were removed by washing with acidified water followed by washing with a 15% acetonitrile solution prepared in 0.05% TFA. The elution of the peptide was carried out with a 60% 15 acetonitrile solution prepared in 0.05% TFA. The fraction eluted with 60% acetonitrile was freeze-dried and then reconstituted in sterile ultrapure water before being subjected to the first purification step.

- second solid phase extraction step on a 20 cation-exchange gel: the 60% prepurified fraction containing the mutated heliomicine was reconstituted in 25 mM ammonium acetate solution, pH 3.4. This sample was deposited on a CM cation-exchange Sep-Pak Vac 35cc cartridge (Waters Associates, 10 g of phase) 25 equilibrated with 25 mM ammonium acetate, pH 3.4. The mutated heliomicine is eluted using a 1 M sodium chloride (NaCl) solution prepared in 25 mM ammonium

acetate, pH 3.4. The 1 M NaCl fraction containing the mutated heliomicine is recovered, dried under vacuum, reconstituted with 20 ml of acidified ultrapure water (1% TFA). The mutated heliomicine is then purified by  
 5 reversed-phase HPLC.

- last purification step by HPLC: the mutated heliomicine was purified to homogeneity by chromatography on a preparative reversed-phase column Aquapo re RP-300 C8 (Brownlee™, 220 × 10 mm, 300 Å),  
 10 using a biphasic linear gradient of acetonitrile from 2% to 23% over 10 min and from 23% to 33% over 80 min in 0.05% TFA at constant flow rate of 2.5 ml/min. The fraction collected is dried under vacuum, reconstituted with ultrapure water and analysed by MALDI mass  
 15 spectrometry in order to verify the purity and the identity. The different mutated heliomicines were analysed for their antifungal activity under the conditions described for the reference heliomicine against the following strains: *Neurospora crassa*,  
 20 *Fusarium culmorum* and *Nectria haematococca*. The activity of the mutants of heliomicine was also evaluated against bacteria. The experimental conditions used are described below.

**Test of activity in vitro: measurement of the  
 25 antibacterial and antifungal activity by  
 microspectrophotometry**

This methodology was used for the

determination of the activity spectrum of the peptide and of the minimum inhibitory concentration (MIC) at which the mutated peptide is active. The MIC was expressed as the concentration range [a] - [b] where [a] was the minimum concentration where an onset of growth is observed and [b] the concentration for which no growth was observed. Examples of specific activity of the mutated heliomicine, with respect to bacteria and filamentous fungi, are given in Table 3.

The antibacterial activity was detected by a test of inhibition of growth in liquid medium. The bacteria to be tested were suspended in a nutrient medium of the "Poor Broth" type. Preferably, a 1% bactotryptone solution supplemented with 1% NaCl by weight/volume, prepared in demineralized water, is used. 10  $\mu$ l of each fraction to be analysed are deposited in microtiter plates in the presence of 90  $\mu$ l of culture medium containing the bacteria (at a final concentration equivalent to 1 mOD at 600 nm). The incubation was carried out at 25°C for 12 to 24 hours. The bacterial growth was measured by monitoring absorbance at 600 nm with the aid of a microtiter plate reader spectrophotometer.

- bacteria tested: *Bacillus megaterium* (collection de Institut Pasteur), *Micrococcus luteus* (collection de l'Institut Pasteur), *Staphylococcus aureus* (H. Monteil, Institute of bacteriology,

Strasbourg), *Aerococcus viridans* (H. Monteil, Institute of bacteriology, Strasbourg), and *Escherichia coli D22* (P.L. Boquet, Centre for nuclear studies, Saclay).

5 **Table 3: Activity of some mutated heliomicines against filamentous fungi and bacteria**

Microorganisms	MIC for the mutants of heliomicine ( $\mu\text{m}$ )				
	L28L29	R48	L28L29R48	A6A7A8A9	Helio
<b>Fungi</b>					
<i>Neurospora crassa</i>	0.8-1.6	0.4-0.8	0.8-1.6	1.6-3.1	0.1-0.2
<i>Fusarium culmorum</i>	3.1-6.2	0.4-0.8	0.8-1.6	3.1-6.2	0.2-0.4
<i>Nectria haematococca</i>	3.1-6.2	0.4-0.8	0.8-1.6	ND	0.4-0.8
<b>Bacteria</b>					
<i>Bacillus megaterium</i>	50-100	ND	ND	6.2-12.5	ND
<i>Micrococcus luteus</i>	12.5-25	25-50	ND	ND	ND
<i>Staphylococcus aureus</i>	ND	ND	ND	ND	ND
<i>Aerococcus viridans</i>	ND	ND	ND	12.5-25	ND
<i>Escherichia coli D22</i>	ND	ND	ND	ND	ND

ND: no activity detected

**Example VIII: Study of acute toxicity**

Groups of 4 female mice were treated by intravenous injection of solutions of heliomicine (SEQ ID NO 2) in saline solution at doses of 1 and 10 mg/kg. Corresponding solutions of aprotinine as negative control (no effect for the two doses) and mellitin as positive control (100% mortality at 5 days at 10 mg, significant effects at 5 days at 1 mg). No toxicity was demonstrated for the heliomicine solutions at the two doses injected.

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**CLAIMS**

1. Peptide comprising essentially the peptide sequence of formula (I),

5 Xaa-Cys-Xab-Cys-Xac-Cys-Xad-Cys-Xae-Cys-Xaf-Cys-Xag  
(I)

in which:

Xaa is -NH<sub>2</sub> or a peptide residue comprising  
10 from 1 to 10 amino acids, preferably from 1 to 6 amino acids,

Xab is a peptide residue comprising from 1 to 10 amino acids, preferably 10,

Xac is a peptide residue of 3 amino acids,

15 Xad is a peptide residue comprising from 1 to 9 amino acids, preferably 9,

Xae is a peptide residue comprising from 1 to 7 amino acids, preferably 7,

Xaf is a peptide residue of 1 amino acid, and

20 Xag is -OH or a peptide residue comprising from 1 to 5 amino acids, preferably 1 or 2 amino acids.

2. Peptide according to claim 1, characterized in that

Xaa comprises at least one basic amino acid, and/or

25 Xad comprises at least one basic amino acid.

3. Peptide according to claim 2,  
 characterized in that Xad comprises 1, 2, 3 or 4 basic  
 amino acids.

4. Peptide according to either of claims 2  
 5 and 3, characterized in that the basic amino acids are  
 chosen from lysine, arginine or homoarginine.

5. Peptide according to one of claims 1 to  
 4, characterized in that Xad represents the following  
 peptide sequence -Lys-Xad'-Xad"-Gly-His-, in which Xad'  
 10 represents a peptide residue of 1 basic amino acid and  
 Xad" represents a peptide residue comprising from 0 to  
 5 amino acids, preferably 5.

6. Peptide according to one of claims 1 to  
 5, characterized in that Xad represents the following  
 15 peptide sequence -Lys-Arg-Arg-Gly-Tyr-Lys-Gly-Gly-His-.

7. Peptide according to one of claims 1 to  
 6, characterized in that Xac comprises at least one  
 acidic amino acid, preferably 1.

8. Peptide according to one of claims 1 to  
 20 7, characterized in that Xac represents the following  
 peptide sequence -Asn-Xac'-Xac"-, in which Xac'  
 represents a peptide residue of 1 amino acid, and Xac"  
 represents a peptide residue of 1 acidic amino acid.

9. Peptide according to either of claims 7  
 25 and 8, characterized in that the acidic amino acids are  
 chosen from glutamic acid (Glu) or aspartic acid (Asp).

10. Peptide according to one of claims 1 to 10, characterized in that Xac represents the following peptide sequence -Asn-Gly-Glu-.

11. Peptide according to one of claims 1 to 5 10, characterized in that Xaa represents the following peptide sequence Xaa'-Gly-Xaa"- in which Xaa' represents NH<sub>2</sub>, or a peptide residue comprising 1 to 9 amino acids, preferably 1 to 5 amino acids, and Xaa" represents a peptide residue comprising 10 at least one amino acid, preferably chosen from Leu, Ile, Val, Pro, Ser or Thr, and/or Xab represents the following peptide sequence -Val-Xab'-Asp-, in which Xab' represents a peptide residue comprising from 0 to 8 amino acids, preferably 8, 15 and/or Xae represents the following peptide sequence -Gly-Xae'-Asn-, in which Xae' represents a peptide residue comprising from 0 to 5 amino acids, preferably 5, and/or 20 Xaf represents one of the following amino acids Trp, Phe, Leu, Ile or Val and/or Xag represents the following peptide sequence -Glu-Xag' in which Xag' represents OH or a variable residue having a sequence comprising from 1 to 4 amino acids, 25 preferably 1 amino acid.

12. Peptide according to one of claims 1 to 11, characterized in that



Xaa represents the following peptide sequence NH<sub>2</sub>-Asp-  
Lys-Leu-Ile-Gly-Ser-, and/or

Xab represents the following peptide sequence -Val-Trp-  
Gly-Ala-Val-Asn-Tyr-Thr-Ser-Asp-, and/or

5 Xae represents the following peptide sequence -Gly-Ser-  
Phe-Ala-Asn-Val-Asn-, and/or

Xaf represents the following amino acid -Trp- and/or

Xag represents the following peptide sequence -Glu-Thr-  
OH.

10                   13. Peptide according to one of claims 1 to  
12, characterized in that it is represented by the  
identifier No. 2 (SEQ ID NO 2).

                  14. Peptide according to one of claims 1 to  
13, characterized in that it comprises at either of its  
15 ends, or at both ends, peptide residues necessary for  
its expression and targeting in a host organism.

                  15. Peptide according to one of claims 1 to  
14, characterized in that the cysteine residues of the  
peptide of formula (I) form at least one intramolecular  
20 disulphide bridge.

                  16. Peptide according to claim 15,  
characterized in that it comprises 3 disulphide bridges  
established between the cysteine residues 1 and 4, 2  
and 5, and 3 and 6.

25                   17. "Peptide-heliomicine" fusion peptide,  
characterized in that the heliomicine is a peptide  
defined according to one of claims 1 to 16.

18. Fusion peptide according to claim 17,  
 characterized in that the peptide fused with  
 heliomicine is a signal peptide or a transit peptide.

19. Fusion peptide according to claim 18,  
 5 characterized in that the transit peptide is the signal  
 peptide of the tobacco PR-1 $\alpha$  gene or the precursor of  
 factor Mat alpha 1 or the signal peptide of the maize  
 polygalacturonase PG1 gene.

20. Fusion peptide according to claim 19,  
 10 characterized in that it is represented by the sequence  
 identifier No. 1 (SEQ ID NO 1), by the sequence  
 identifier No. 3 (SEQ ID NO 3), or by the sequence  
 identifier No. 18 (SEQ ID NO 18).

21. As a medicament, the peptide according  
 15 to one of claims 1 to 20.

22. Composition, characterized in that it  
 comprises the peptide according to one of claims 1 to  
 20 and an appropriate vehicle.

23. Nucleic acid fragment, characterized in  
 20 that it comprises a nucleic acid sequence encoding a  
 peptide according to one of claims 1 to 20.

24. Nucleic acid fragment according to claim  
 23, characterized in that it is a nucleotide sequence  
 of the DNA type.

25. Nucleic acid fragment according to claim 24, characterized in that the nucleotide sequence of the DNA type comprises the DNA sequence described by bases 16 to 147 of the sequence identifier No. 1 (SEQ ID NO 1), by the sequence identifier No. 2 (SEQ ID NO 2), by bases 3 to 224 of the sequence identifier No. 3 (SEQ ID NO 3), or by bases 7 to 205 of the sequence identifier No. 18 (SEQ ID NO 18), a homologous sequence or a sequence complementary to the said sequence.

26. Chimeric gene comprising a coding sequence as well as heterologous regulatory elements at the 5' and 3' positions capable of functioning in a host organism, in particular plants, characterized in that the coding sequence comprises at least one DNA fragment as defined in claims 23 to 25.

27. Chimeric gene according to claim 26, characterized in that the host organism is a microorganism.

28. Chimeric gene according to claim 26, characterized in that the host organism is chosen from plant cells and plants.

29. Cloning or expression vector for the transformation of a host organism, characterized in that it comprises at least one replication origin and at least one chimeric gene as defined in claims 26 to 28.

30. Transformed host organisms, characterized in that they contain a nucleic acid fragment according to claims 23 to 25, or a chimeric gene according to claims 26 to 28.

5 31. Transformed host organism according to claim 30, characterized in that it includes microorganisms, plant cells or plants.

32. Transformed host organism according to claim 30, characterized in that it is a plant  
10 containing transformed cells.

33. Host organism according to claim 32, characterized in that the plant is regenerated from transformed cells.

34. Transformed host organism according to  
15 claim 30, characterized in that the microorganism is chosen from bacteria, in particular *E. coli*, yeasts, in particular of the genera *Saccharomyces* or *Kluyveromyces*, *Pichia*, fungi, in particular *Aspergillus*, or baculoviruses.

20 35. Transformed plant cell, characterized in that it contains a nucleic acid fragment according to claims 23 to 25 or a chimeric gene according to claims 26 to 28.

36. Transformed plant, characterized in that  
25 it comprises at least one transformed plant cell according to claim 35.

37. Transformed plant according to claim 36, characterized in that it is resistant to diseases caused by *Cercospora*, in particular *Cercospora beticola*, *Cladosporium*, in particular *Cladosporium*  
 5 *herbarum*, *Fusarium*, in particular *Fusarium culmorum* or *Fusarium graminearum*, or by *Phytophthora*, in particular *Phytophthora cinnamomi*.

38. Transformed plant, characterized in that it is derived from the cultivation and/or crossing of  
 10 the plants according to either of claims 36 and 37.

39. Seeds of transformed plants according to one of claims 36 to 38.

40. Method of transforming host organisms, in particular plant cells or plants, characterized in  
 15 that at least one nucleic acid fragment according to claims 23 to 25 or a chimeric gene according to one of claims 26 to 28 is inserted into the said host organism.

41. Method according to claim 40,  
 20 characterized in that the host organism is a plant cell or a plant.

42. Method according to claim 41, characterized in that a plant is regenerated from the plant cell or from the transformed plant.

43. Method of cultivating transformed plants according to one of claims 36 to 38, characterized in that it consists in planting the seeds of the said transformed plants in a plot of a field appropriate for  
5 cultivating the said plants, in applying to the said plot of the said field an agrochemical composition, without substantially affecting the said seeds or the said transformed plants, then in harvesting the cultivated plants when they arrive at the desired  
10 maturity and optionally in separating the seeds from the harvested plants.

44. Method of cultivation according to claim 33, characterized in that the agrochemical composition comprises at least one active product having at least  
15 one fungicidal and/or bactericidal activity.

45. Method of cultivation according to claim 44, characterized in that the active product exhibits an activity which is complementary to that of the peptide according to one of claims 1 to 20.

20 46. Method of preparing heliomicine defined according to one of claims 1 to 20, characterized in that it comprises the steps of culturing a transformed organism according to one of claims 30 to 34 in an appropriate culture medium, followed by the extraction  
25 and total or partial purification of the heliomicine obtained.

PCT

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Bureau international



DEMANDE INTERNATIONALE PUBLIÉE EN VERTU DU TRAITE DE COOPERATION EN MATIÈRE DE BREVETS (PCT)

<p>(51) Classification internationale des brevets <sup>6</sup> : <b>C12N 15/12, C07K 14/435, C12N 15/82, A61K 38/17, C12P 21/02, C12N 15/62, 15/81</b></p>	<p><b>A1</b></p>	<p>(11) Numéro de publication internationale: <b>WO 99/53053</b> (43) Date de publication internationale: 21 octobre 1999 (21.10.99)</p>
<p>(21) Numéro de la demande internationale: PCT/FR99/00843 (22) Date de dépôt international: 12 avril 1999 (12.04.99) (30) Données relatives à la priorité: 98/04933 15 avril 1998 (15.04.98) FR (71) Déposant (pour tous les Etats désignés sauf US): RHONE-POULENC AGRO [FR/FR]; 14-20, rue Pierre Baizet, F-69009 Lyon (FR). (72) Inventeurs; et (75) Inventeurs/Déposants (US seulement): LAMBERTY, Mireilla [FR/FR]; 30, rue Benfeld, F-67100 Strasbourg (FR). BULET, Philippe [FR/FR]; 11, rue du Cottage, F-67550 Vendenheim (FR). BROOKHART, Gary, Lee [US/US]; 4903 Victoria Drive, Durham, NC 27713 (US). HOFMANN, Jules [FR/FR], 5, rue Closener, F-67000 Strasbourg (FR). (74) Représentant commun: RHONE-POULENC AGRO; Boîte postale 9163, F-69263 Lyon cedex 09 (FR).</p>	<p>(81) Etats désignés: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, brevet ARIPO (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), brevet eurasién (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), brevet européen (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), brevet OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Publiée</b> <i>Avec rapport de recherche internationale. Avant l'expiration du délai prévu pour la modification des revendications, sera republiée si des modifications sont reçues.</i></p>	

(54) Title: GENE CODING FOR HELIOMICINE AND USE THEREOF

(54) Titre: GENE CODANT POUR L'HELIOMICINE ET SON UTILISATION

(57) Abstract

The invention concerns heliomicine, a DNA sequence coding for heliomicine, a vector containing it for transforming a host organism and the transformation method. The invention concerns heliomicine as medicine in particular for treating fungal infections. More particularly it concerns the transformation of plant cells and plants, the heliomicine produced by the transformed plants ensuring their resistance to diseases, in particular diseases of fungal origin.

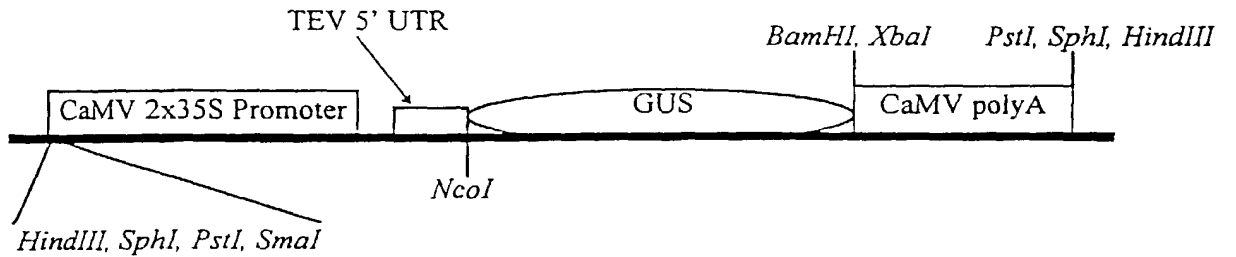
(57) Abrégé

La présente invention a pour objet l'héliomicine, une séquence d'ADN codant pour l'héliomicine, un vecteur la contenant pour la transformation d'un organisme hôte et le procédé de transformation. L'invention concerne l'utilisation de l'héliomicine à titre de médicament, en particulier pour le traitement des infections fongiques. L'invention concerne plus particulièrement la transformation des cellules végétales et des plantes, l'héliomicine produite par les plantes transformées leur conférant une résistance aux maladies, en particulier d'origine fongique.



Fig. 1

5



10

Fig. 2



2/2

5

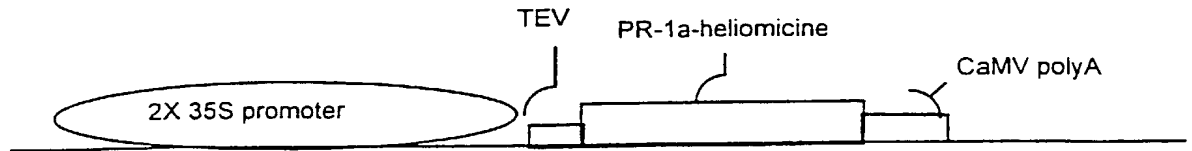


Fig. 3

10

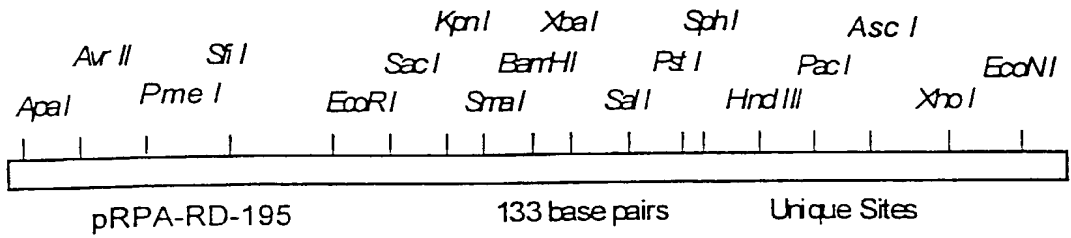


Fig. 4

15

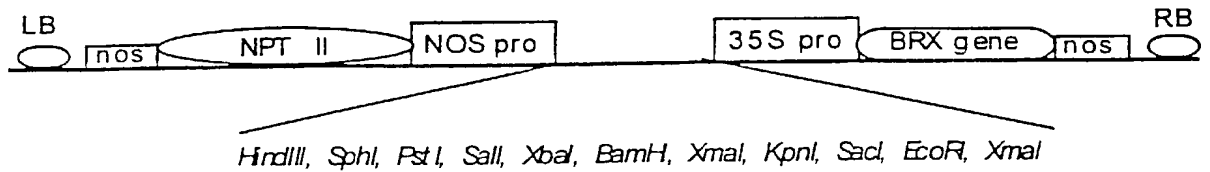


Fig. 5

20

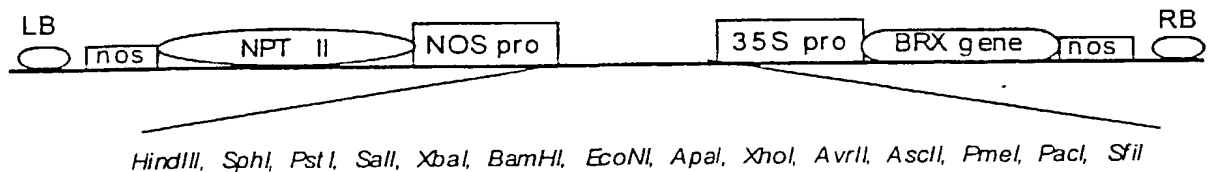


Fig. 6

25



**COMBINED DECLARATION  
AND POWER OF ATTORNEY**

**(Original, Design, National Stage of PCT, Divisional, Continuation or C-I-P Application)**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**GENE CODING FOR HELIOMICINE AND USE THEREOF**

This declaration is of the following type:

- original
- design
- national stage of PCT.
- divisional
- continuation
- continuation-in-part (C-I-P)

the specification of which: *(complete (a), (b), or (c))*

- (a)  is attached hereto.
- (b)  was filed on October 12, 2000 as Application Serial No. 09/673,274 and was amended on *(if applicable)*.
- (c)  was described and claimed in PCT International Application No. PCT/FR99/00823 filed on and was amended on April 12, 1999 *(if applicable)*.

**Acknowledgement of Review of Papers and Duty of Candor**

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56.

In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.98.

**Priority Claim**

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT International Application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT International Application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application on which priority is claimed

*(complete (d) or (e))*

- (d)  no such applications have been filed.
- (e)  such applications have been filed as follows:

PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION			
COUNTRY	APPLICATION NO.	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
France	FR 98/04933	15/04/98	
ALL FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION			

**Claim for Benefit of Prior U.S. Provisional Application(s)**

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date

**Claim for Benefit of Earlier U.S./PCT Application(s) under 35 U.S.C. 120**

*(complete this part only if this is a divisional, continuation or C-I-P application)*

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

(Application Serial No ) (Filing Date) (Status) (patented, pending, abandoned)

(Application Serial No ) (Filing Date) (Status) (patented, pending, abandoned)

**Power of Attorney**

As a named inventor, I hereby appoint Dana M. Raymond, Reg. No. 18,540; Frederick C. Carver, Reg. No. 17,021; Francis J. Hone, Reg. No. 18,662; Joseph D. Garon, Reg. No. 20,420; Arthur S. Tenser, Reg. No. 18,839; Ronald B. Hildreth, Reg. No. 19,498; Thomas R. Nesbitt, Jr., Reg. No. 22,075; Robert Neuner, Reg. No. 24,316; Richard G. Berkley, Reg. No. 25,465; Richard S. Clark, Reg. No. 26,154; Bradley B. Geist, Reg. No. 27,551; James J. Maune, Reg. No. 26,946; John D. Murnane, Reg. No. 29,836; Henry Tang, Reg. No. 29,705; Robert C. Scheinfeld, Reg. No. 31,300; John A. Fogarty, Jr., Reg. No. 22,348; Louis S. Sorell, Reg. No. 32,439; Rochelle K. Seide Reg. No. 32,300; Gary M. Butter, Reg. No. 33,841; Marta E. Delsignore, Reg. No. 32,689; and Lisa B. Kole, Reg. No. 35,225 of the firm of BAKER BOTTS L.L.P., with offices at 30 Rockefeller Plaza, New York, New York 10112, as attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith

SEND CORRESPONDENCE TO: BAKER BOTTS L.L.P. <u>30 ROCKEFELLER PLAZA, NEW YORK, N.Y. 10112</u> CUSTOMER NUMBER: 21003	DIRECT TELEPHONE CALLS TO: BAKER BOTTS L.L.P. (212) 705-5000
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section

1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR	LAST NAME LAMBERTY	FIRST NAME MIREILLE	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY Strasbourg	STATE or FOREIGN COUNTRY FRANCE	COUNTRY OF CITIZENSHIP FRANCE
POST OFFICE ADDRESS	POST OFFICE ADDRESS 30 rue Benfeld	CITY Strasbourg	STATE or COUNTRY FRANCE
DATE	SIGNATURE OF INVENTOR		
FULL NAME OF SECOND JOINT INVENTOR, IF ANY	LAST NAME BULET	FIRST NAME PHILIPPE	MIDDLE NAME
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POST OFFICE ADDRESS	POST OFFICE ADDRESS 11, rue du Cottage	CITY Vendenheim	STATE or COUNTRY FRANCE
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RESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE or COUNTRY
DATE	SIGNATURE OF INVENTOR		
FULL NAME OF SIXTH JOINT INVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE or COUNTRY
DATE	SIGNATURE OF INVENTOR		

WO 99/53053

PCT/FR99/00843

1

SEQUENCE LISTING

(1) GENERAL INFORMATION:

(i) APPLICANT:

- (A) NAME: RHONE-POULENC AGROCHIMIE
- (B) STREET: 14-20 Rue Pierre BAIZET
- (C) CITY: LYON
- (E) COUNTRY: France
- (F) POSTAL CODE: 69009

(ii) TITLE OF INVENTION: Gene encoding  
heliomicine, protein obtained, vector containing it,  
transformed organisms obtained and method of  
preparation

(iii) NUMBER OF SEQUENCES: 20

(iv) COMPUTER READABLE FORM:

- (A) MEDIUM TYPE: Floppy disk
- (B) COMPUTER: IBM PC compatible
- (C) OPERATING SYSTEM: PC-DOS/MS-DOS
- (D) SOFTWARE: PatentIn Release #1.0,

Version #1.30 (EPO)

(2) INFORMATION FOR SEQ ID NO: 1:

(i) SEQUENCE CHARACTERISTICS:





(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

CC ATG GGT TTC GTG CTT TTC TCT CAG CTT CCA TCT TTC CTT CTT GTG	47
Met Gly Phe Val Leu Phe Ser Gln Leu Pro Ser Phe Leu Leu Val	
1 5 10 15	
TCT ACT CTT CTT CTT TTC CTT GTG ATC TCT CAC TCT TGC CGT GCC GAT	95
Ser Thr Leu Leu Leu Phe Leu Val Ile Ser His Ser Cys Arg Ala Asp	
20 25 30	
AAG CTT ATC GGT TCC TGC GTG TGG GGT GCT GTG AAC TAC ACT TCC GAT	143
Lys Leu Ile Gly Ser Cys Val Trp Gly Ala Val Asn Tyr Thr Ser Asp	
35 40 45	
TGC AAC GGT GAG TGC AAG AGG AGG GGT TAC AAG GGT GGT CAC TGC GGT	191
Cys Asn Gly Glu Cys Lys Arg Arg Gly Tyr Lys Gly Gly His Cys Gly	
50 55 60	
TCC TTC GCT AAC GTG AAC TGC TGG TGC GAG ACT TGAGAGCTCG GCGAGGCGAA	244
Ser Phe Ala Asn Val Asn Cys Trp Cys Glu Thr	
65 70	
CGTGTTCGACG GATCCGG	261

(2) INFORMATION FOR SEQ ID NO: 4:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 120 base pairs
- (B) TYPE: nucleotide
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA

(ix) FEATURE:

- (A) NAME/KEY: CDS
- (B) LOCATION: 12..101

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:







(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION: /desc = "synthetic  
oligonucleotide 10"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 8:

CCGGATCCGT CGACACG TTC GCCTCGCCGA GCTCTCAAGT CTCGCACCAG CAGTTCACGT	60
TAGCGAAGGA ACCGCAGTGA CCACCCTTGT AACCCCTCCT CTTGCACTC	109

(2) INFORMATION FOR SEQ ID NO: 9:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 85 base pairs

(B) TYPE: nucleotide

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION: /desc = "synthetic  
oligonucleotide 11"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 9:

AGGGCCCCCT AGGGTTTAAA CGGCCAGTCA GGCCGAATTC GAGCTCGGTA CCCGGGGATC	60
CTCTAGAGTC GACCTGCAGG CATGC	85

(2) INFORMATION FOR SEQ ID NO: 10:

(i) SEQUENCE CHARACTERISTICS:



(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 93 base pairs
- (B) TYPE: nucleotide
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION: /desc = "synthetic  
oligonucleotide 14"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 12:

CCGGCCTGAA CCAGGTACTG AGGTTGGGCC CTCGAGCACA CACCTAGGCG CGCCGGGGCC 60  
GCGTTTAAAC TTAATTAAGT GTGGCCTGAC TGG 93

(2) INFORMATION FOR SEQ ID NO: 13:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 50 base pairs
- (B) TYPE: nucleotide
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION: /desc = "synthetic  
oligonucleotide 15"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 13:

GGTCTAGAAT GGCCTGCACC AACAAACGCCA TGAGGGCCCT CTCCTCCTC 50





(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION: /desc = "synthetic oligonucleotide 18"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 17:

GGCTCGAGTC AAGTCTCGCA CCAGCAGTTC AC

32

(2) INFORMATION FOR SEQ ID NO: 18:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 213 base pairs

(B) TYPE: nucleotide

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA

(ix) FEATURE:

(A) NAME/KEY: CDS

(B) LOCATION: 7..205

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 18:

TCTAGA ATG GCC TGC ACC AAC AAC GCC ATG AGG GCC CTC TTC CTC CTC

48



	Met	Ala	Cys	Thr	Asn	Asn	Ala	Met	Arg	Ala	Leu	Phe	Cys	Ile		
	1				5					10						
CTG	CTC	TTC	TGC	ATC	GTG	CAC	GGC	GAT	AAG	CTT	ATC	GGT	TCC	TGC	GTG	96
Val	Leu	Phe	Cys	Ile	Val	His	Gly	Asp	Lys	Leu	Ile	Gly	Ser	Cys	Val	
15					20				25					30		
TGG	GGT	GCT	GTG	AAC	TAC	ACT	TCC	GAT	TGC	AAC	GGT	GAG	TGC	AAG	AGG	144
Trp	Gly	Ala	Val	Asn	Tyr	Thr	Ser	Asp	Cys	Asn	Gly	Glu	Cys	Lys	Arg	
				35				40					45			
AGG	GGT	TAC	AAG	GGT	GGT	CAC	TGC	GGT	TCC	TTC	GCT	AAC	GTG	AAC	TGC	192
Arg	Gly	Tyr	Lys	Gly	Gly	His	Cys	Gly	Ser	Phe	Ala	Asn	Val	Asn	Cys	
			50					55					60			
TGG	TGC	GAG	ACT	TGA											213	
Trp	Cys	Glu	Thr													
			65													

(2) INFORMATION FOR SEQ ID NO: 19:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 838 base pairs
- (B) TYPE: nucleotide
- (C) STRANDEDNESS: double
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA

(ix) FEATURE:

- (A) NAME/KEY: CsVMV promoter
- (B) LOCATION: 7..532

(ix) FEATURE

- (A) NAME/KEY: multiple cloning site
- (B) LOCATION: 533..568

(ix) FEATURE

(A) NAME/KEY: terminator

(B) LOCATION: 569..832

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 19:

AAGCTTCCAG AAGGTAATTA TCCAAGATGT AGCATCAAGA ATCCAATGTT TACGGGAAAA	60
ACTATGGAAG TATTATGTGA GCTCAGCAAG AAGCAGATCA ATATGCGGCA CATATGCAAC	120
CTATGTTCAA AAATGAAGAA TGTACAGATA CAAGATCCTA TACTGCCAGA ATACGAAGAA	180
GAATACGTAG AAATTGAAAA AGAAGAACCA GGCGAAGAAA AGAATCTTGA AGACGTAAGC	240
ACTGACGACA ACAATGAAAA GAAGAAGATA AGGTCGGTGA TTGTGAAAGA GACATAGAGG	300
ACACATGTAA GGTGGAAAAT GTAAGGGCGG AAAGTAACCT TATCACAAAG GAATCTTATC	360
CCCCACTACT TATCCTTTTA TATTTTTCCG TGTCATTTTT GCCCTTGAGT TTTCTATAT	420
AAGGAACCAA GTTCGGCATT TGTGAAAACA AGAAAAAATT TGGTGTAAGC TATTTTCTTT	480
GAAGTACTGA GGATACAAC TTAGAGAAAT TTGTAAGTTT GTAGATCTCG ATTCTAGAAG	540
GCCTGAATTC GAGCTCGGTA CCGGATCCAA TTCCCGATCG TTCAAACATT TGCAATAAA	600
GTTTCTTAAG ATTGAATCCT GTTGCCGGTC TTGCGATGAT TATCATATAA TTTCTGTTGA	660
ATTACGTAA GCATGTAATA ATTAACATGT AATGCATGAC GTTATTTATG AGATGGGTTT	720
TTATGATTAG AGTCCCGCAA TTATACATTT AATACCGGAT AGAAAACAAA ATATAGCGCG	780
CAAAC TAGGA TAAATTATCG CGCGGGTGT CATCTATGTT ACTAGATCGG GGATCGAT	838

(2) INFORMATION FOR SEQ ID NO: 20:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 1036 base pairs

(B) TYPE: nucleotide

(C) STRANDEDNESS: double

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA

(ix) FEATURE:

(A) NAME/KEY: CsVMV promoter





AGCTTGGATA AAAGAGACAA GTTGATTGGC AGCTGTGTTT GGGCGCCCGT CA 52

(2) INFORMATION FOR SEQ ID NO: 22:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 56 base pairs
- (B) TYPE: nucleotide
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION:/desc = "synthetic oligonucleotide 2"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 22:

AGTGTAGTTG ACGGCGCCCC AACACAGCT GCCAATCAAC TTGTCTCTTT TATCCA 56

(2) INFORMATION FOR SEQ ID NO: 23:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 52 base pairs
- (B) TYPE: nucleotide
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION:/desc = "synthetic  
oligonucleotide 3"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 23:

ACTACACTAG TGACTGCAAC GGCGAGTGCA AGCGCCGCGG TTACAAGGGT GG 52

(2) INFORMATION FOR SEQ ID NO: 24:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 52 base pairs

(B) TYPE: nucleotide

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION:/desc = "synthetic  
oligonucleotide 4"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 24:

CACAATGGCC ACCCTTGTA CCGCGGCGCT TGCCTCGCC GTTGCAGTCA CT 52

(2) INFORMATION FOR SEQ ID NO: 25:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 56 base pairs

19

- (B) TYPE: nucleotide
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION:/desc = "synthetic oligonucleotide 5"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 25:

CCATTGTGGA TCCTTCGCTA ACGTAACTG TTGGTGTGAA ACCTGATAGG TCGACA 56

(2) INFORMATION FOR SEQ ID NO: 26:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 52 base pairs
- (B) TYPE: nucleotide
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION:/desc = "synthetic oligonucleotide 6"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 26:

GATCTGTCGA CCTATCAGGT TTCACACCAA CAGTTAACGT TAGCGAAGGA TC 52

(2) INFORMATION FOR SEQ ID NO: 27:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 42 base pairs
- (B) TYPE: nucleotide
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

- (A) DESCRIPTION:/desc = "synthetic oligonucleotide 19"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 27:

GATCCTTCGC TAACGTTAAC TGTGGTGTA GAACCTGATA GG

42

(2) INFORMATION FOR SEQ ID NO: 28:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 42 base pairs
- (B) TYPE: nucleotide
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

- (A) DESCRIPTION:/desc = "synthetic









(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 33:

AGCTTGGATA AAAGAGCTGC TGCTGCTGGT AGCTGTGTTT 40

(2) INFORMATION FOR SEQ ID NO: 34:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 18 base pairs

(B) TYPE: nucleotide

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION:/desc = "synthetic oligonucleotide 26"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 34:

GGGGCGCCGT CAACTACA 18

(2) INFORMATION FOR SEQ ID NO: 35:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 22 base pairs

(B) TYPE: nucleotide

(C) STRANDEDNESS: single

25

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION:/desc = "synthetic oligonucleotide 27"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 35:

CTAGTGTAGT TGACGGCGCC CC

22

(2) INFORMATION FOR SEQ ID NO: 36:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 36 base pairs

(B) TYPE: nucleotide

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION:/desc = "synthetic oligonucleotide 28"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 36:

AAACACAGCT ACCAGCAGCA GCAGCTCTTT TATCCA

36

(2) INFORMATION FOR SEQ ID NO: 37:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 32 base pairs
- (B) TYPE: nucleotide
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION:/desc = "synthetic oligonucleotide 29"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 37:

CTAGTGACTG CGCTGCTGAG TGCTTGTTC GC

32

(2) INFORMATION FOR SEQ ID NO: 38:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 26 base pairs
- (B) TYPE: nucleotide
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Other nucleic acid

(A) DESCRIPTION:/desc = "synthetic oligonucleotide 30"

